

Data Exchange Framework

INFORMATION IS POWER

Webinar Series

WEBINAR 4

What is a QHIO? How do I sign the DSA?
And Other DxF Program Updates

December 13, 2022



Q&A Procedure

- Please submit your questions through the Zoom Q&A function.
- CDII will select questions to be answered live during the webinar as time allows.
- If your question was not answered during the session and you would like to follow up with CDII, please submit it to cdii@chhs.ca.gov.

Speaker Introductions



John Ohanian
CDO, CalHHS
Director, CDII



Courtney Hansen
Assistant Chief Counsel,
CDII



Robert Jordan
Senior Consultant
Mazars



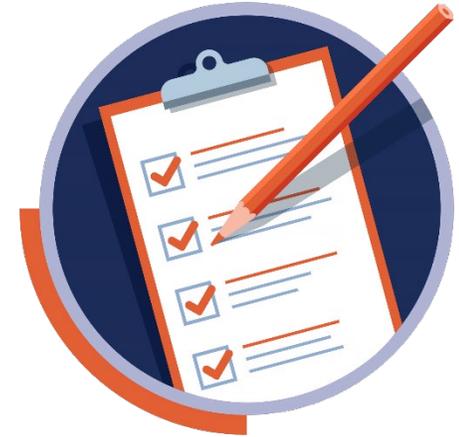
Cindy Bero
Senior Advisor
Manatt Health Strategies



Juliette Mullin
Senior Manager
Manatt Health Strategies

Agenda

- **Vision for Data Exchange in California**
- **DxF Program Updates**
- **How to Sign the DSA: A Demonstration**
- **DxF QHIO Program Overview**
- **Wrap Up & Q&A**



Vision for Data Exchange in California

The Vision for Data Exchange in California

Every Californian, and the health and human service providers and organizations that care for them, will have timely and secure access to usable electronic information that is needed to address their health and social needs and enable the effective and equitable delivery of services to improve their lives and wellbeing.



CalHHS Data Exchange Framework (“DxF”) Update

DxF this Month

Since our last *Information is Power* webinar on November 22nd, CDII and stakeholders have continued to advance DxF implementation across several domains.



Governance

- CDII will facilitate IAC meetings on 12/10 and 1/10, soliciting input on the draft P&Ps and the DxF QHIO and Grant Programs, respectively.



DSA P&P Development

- CDII launched the [DSA Signing Portal](#) on 11/29, allowing organizations to electronically execute the DSA (*further discussed later in today's presentation*).
- CDII is drafting P&Ps on Information Blocking, QHIO Designation Process, Early Exchange, Real-Time Data Exchange, & Technical Requirements for Exchange for discussion at upcoming IAC and the DSA P&P Subcommittee meetings.



DxF Grants

- CDII is drafting eligibility criteria and funding parameters for the QHIO Onboarding and Technical Assistance Grants. Draft criteria for these grants will be discussed at the January meeting of the IAC, and at upcoming webinars.



QHIO

- CDII is developing QHIO criteria across several domains including organizational structure, privacy and security and technical requirements. These criteria will be discussed at the January meeting of the IAC.

Meeting materials and information are available on the CalHHS DxF [website](#)

CDII Intends To Award Eight Educational Initiative Grants

CDII intends to award grant funding for eight educational initiatives to inform and train signatories across the state about the Data Exchange Framework in 2023.

- Multi-Association Initiative: America's Physician Groups, California Academy of Family Physicians, Purchaser Business Group on Health-California Quality Collaborative, California Association of Health Care Facilities, California Association of Area Agencies on Aging, California Association of Health Information Exchanges
- California Medical Association
- California Primary Care Association
- County Health Executives Association of California
- California Association of Health Plans
- California Council of Community Behavioral Health Agencies
- American Academy of Pediatrics, California Chapter 1
- LeadingAge California Foundation

Additional awards may be announced at a later date.

DSA Early Signer Spotlight

A special thank you to River City Medical Group for being among the growing number of early signers!



How to Sign the DSA: A Demonstration

What is the DxF Data Sharing Agreement?

AB 133 required the establishment of a single data sharing agreement and a common set of policies and procedures that govern and require the exchange of health information.

The DxF Data Sharing Agreement (DSA) is:

- A legal agreement that a broad spectrum of health organizations will be required to execute by January 31, 2023, with required data sharing beginning by January 31, 2024 or January 31, 2026, depending on the participant type.
- Intended to facilitate data exchange between Participants in compliance with applicable federal, state, and local laws, regulations and policies.
- Sets forth a common set of terms, conditions, and obligations to support secure real-time access to, or exchange of, Health and Social Services Information between and among Participants.

The DSA is not intended to replace or supersede any existing or future agreement between or among Participants that provides for more extensive data exchange than the DSA requires.

Who Has to Sign the DxF DSA by January 31, 2023?

#	Required Signatory Type
1	General acute care hospitals , as defined by Health and Safety Code (HSC) section 1250.
2	Physician organizations and medical groups.*
3	Skilled nursing facilities , as defined by HSC section 1250, that currently maintain electronic records.
4	Health care service plans and disability insurers that provide hospital, medical, or surgical coverage that are regulated by the Department of Managed Health Care or the Department of Insurance. This section shall also apply to a <u>Medi-Cal managed care plan under a comprehensive risk contract</u> with the State Department of Health Care Services pursuant to Chapter 7 (commencing with Section 14000) or Chapter 8 (commencing with Section 14200) of Part 3 of Division 9 of the Welfare and Institutions Code that is not regulated by the Department of Managed Health Care or the Department of Insurance.
5	Clinical laboratories , as that term is used in Section 1265 of the Business and Professions Code, and that are regulated by the State Department of Public Health.
6	Acute psychiatric hospitals , as defined by HSC section 1250.

This includes organizations required to begin sharing data by January 31, 2024 and January 31, 2026.

Signing the DxF DSA: Additional Info

All mandatory signatories are legally required by AB-133 to execute the DSA by January 31, 2023.

Mandatory Signatories

- **Legally required to execute the DSA by 1/31/2023** and comply with its provisions and associated P&Ps.

Voluntary Signatories

- **County health, public health, social services, and other organizations are encouraged to execute the DSA by 1/31/2023** if they are able to comply with its provisions and associated P&Ps.
- May sign after 1/31/2023.

All Signatories

- **The DSA is a final State document and must be signed as drafted.**
- Should **continue to monitor development of P&Ps** through the coming months.

How to Sign the DSA (1 of 2)

Mandatory and voluntary signatories can execute the DSA in the DSA Signing Portal.



The screenshot shows the CalHHS Data Sharing Agreement Signing Portal. At the top left is the CalHHS logo. The page title is "CalHHS Data Sharing Agreement Signing Portal". The main content area contains the following text:

Welcome to the CalHHS Data Sharing Agreement Signing Portal!

Signing the Data Sharing Agreement is a critical next step toward full implementation of the Data Exchange Framework.

It's time to ensure every Californian, no matter where they live, can trust that their health and social services providers can securely access critical patient information to provide safe, effective, whole person care.

Data Sharing Agreement

[Register to Start](#)

DSA Signing Portal URL: <https://signdxf.powerappsportals.com/>

How to Sign the DSA (2 of 2)

Organizations wishing to sign the DSA will need to determine:



Who is authorized to sign the DSA within your organization.



What facilities or subordinate entities are required to sign the DSA; a signatory may sign on behalf of multiple facilities or subordinate entities, if authorized.



Information about the individual signing, their organization, their contact information, all subordinate entities, including their state license number (or EIN for physician organizations and medical groups), will need to be listed on the DSA prior to signing.

Frequently Asked Questions (1 of 2)

- The CalHHS Data Sharing Agreement Signing Portal asks for California license numbers for some organization types. What is the license number being requested?

Entity	License Number
General acute care hospitals, skilled nursing facilities, or psychiatric hospitals	<ul style="list-style-type: none"> • License number issued by the California Department of Public Health (CDPH).
Health care service plans or disability insurers, including Medi-Cal managed care plans	<ul style="list-style-type: none"> • License number issued by the Department of Managed Health Care (DMHC) or the California Department of Insurance (CDI), or the risk-bearing organization (RBO) number
Medi-Cal managed care plans that are not licensed by the DMHC or the CDI	<ul style="list-style-type: none"> • Contract number issued by the Department of Health Care Services (DHCS)
Clinical laboratories	<ul style="list-style-type: none"> • Laboratory license number issued by the California Department of Public Health (CDPH) • <u>Not</u> the federally-issued Clinical Laboratory Improvement Amendments (CLIA) number.

Frequently Asked Questions (2 of 2)

- **The CalHHS Data Sharing Agreement Signing Portal asks me whether my organization has subordinate organizations. What is a subordinate organization?**
 - Subordinate organizations are entities or facilities that are licensed separately by the state of California but belong to a single parent company.
 - If you have identified an individual at your organization that is authorized to sign on behalf of more than one entity or facility, the authorized person to sign the DSA can list these organizations in the signing portal and on the DSA as “subordinate organizations”.
- **What do I do if subordinate organizations are part of the same physical facility (e.g., a licensed clinical lab within an acute care hospital)?**
 - If one person is authorized to sign on behalf of organizations, both could be listed as “subordinate organizations”.
 - For example, the general acute care hospital and the clinical laboratory, the general acute care hospital and the clinical laboratory could both be listed as “subordinate organizations.”

Available Resources & Next Steps

- **Available Resources:**

- The DSA & P&Ps are available on the [DxF website](#).
- DSA Signing Portal URL: <https://signdxf.powerappsportals.com/>
- [FAQ](#) with additional information on the DSA and the Signing Portal.

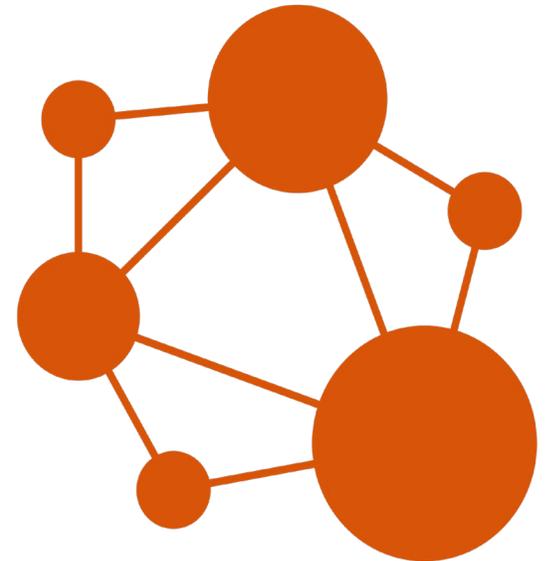
- **Next Steps:**

- CDII will hold a DSA Signing Portal Town Hall on January 6th to address stakeholder questions.
- Stakeholders should submit questions about the DSA, P&Ps, and the Signing Portal to cdii@chhs.ca.gov.

DxF QHIO Program Overview

The Role of the QHIO

- The DxF will establish the framework for statewide exchange of health and human service information.
- To participate in the DxF, signatories must be able to send and receive transactions, locate a patient record based on demographic data, translate health data to conform with industry-standard terminologies, apply security measures, and more.
- For many signatories, these are not current capabilities, and they will seek the services of a Qualified Health Information Organization (QHIO) to help manage this work.
- Signatories are not required to use a QHIO if they can meet the requirements of the DSA through other means.



What is an HIO? What is a QHIO?

A **Health Information Organization (HIO)** is an organization that offers services and functions to support the exchange of health information. The HIO serves as an intermediary, assisting health and human services organizations as they initiate, receive, and reply to requests for information.

A **Qualified Health Information Organization (QHIO)** is an HIO that has demonstrated their ability to meet DxF data exchange requirements. As part of the DxF implementation, CDII will establish QHIO criteria and a process to qualify HIOs.



QHIO Program: Guiding Principles



Confidence. The program shall provide signatories with confidence in the quality and level of service offered by QHIOs



Stability. The program shall create sufficient stability so that QHIOs and signatories can make business decisions with minimal concern for change or disruption



Fairness. The program design shall be fair, offering all participants reasonable time to adapt to change and/or remediate issues



Equity. The program shall create opportunities for all signatories to successfully participate in the DxF

QHIO Program: Capabilities

The QHIO Program is aiming to launch an application process in Spring 2023.

HIOs interested in being Qualified through the Program must apply and will be assessed for their capabilities in the following areas:

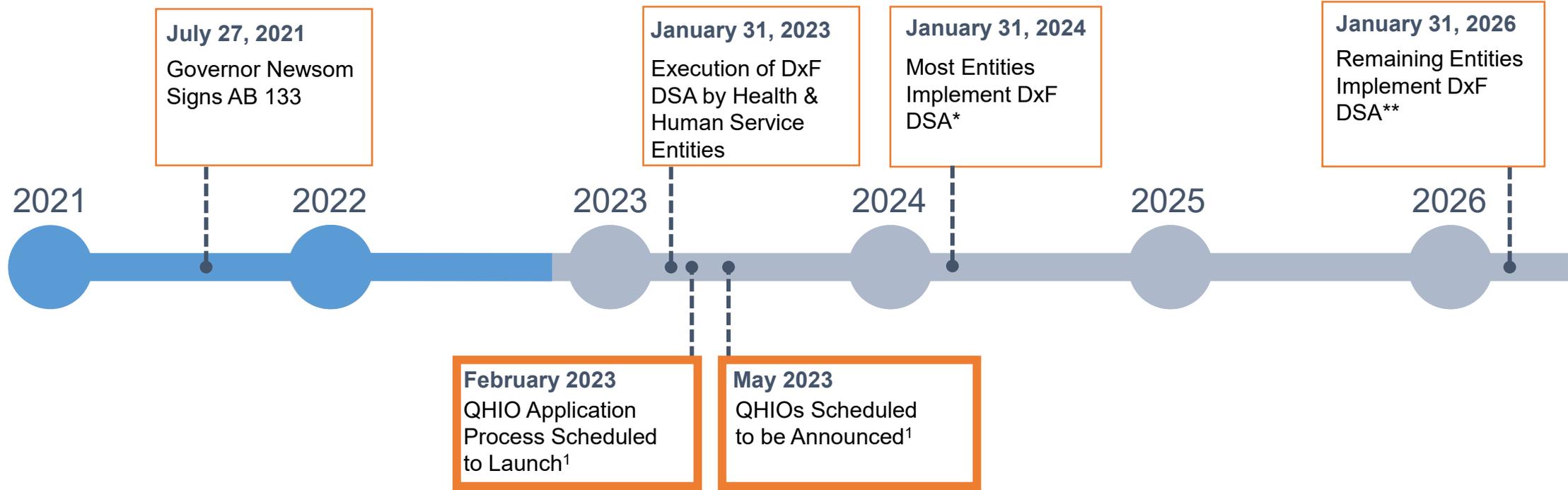
- Organizational structure, governance and status
- Technology to support DxF transactions patterns and technical standards
- Data privacy and security practices
- Reporting and ongoing program monitoring

QHIO Onboarding Grants

Criteria and processes outlined on this slide are preliminary and subject to change

- DxF Grant Program will provide funding to support QHIO onboarding for eligible organizations.
- Signatories seeking QHIO services may work with their selected QHIO to apply for a QHIO Onboarding Grant.
- QHIO Onboarding Grants will be available to support one-time expenses associated with connecting to a QHIO.
- QHIO Onboarding Grants will be not available to signatories already connected to a QHIO or to signatories who plan to meet their DxF obligations without the use of QHIO services.
 - *Note: There are other grant opportunities for signatories seeking to meet with DxF obligations without connecting to a QHIO.*

Initial QHIO Application Timeline



¹ Subject to change

Notes

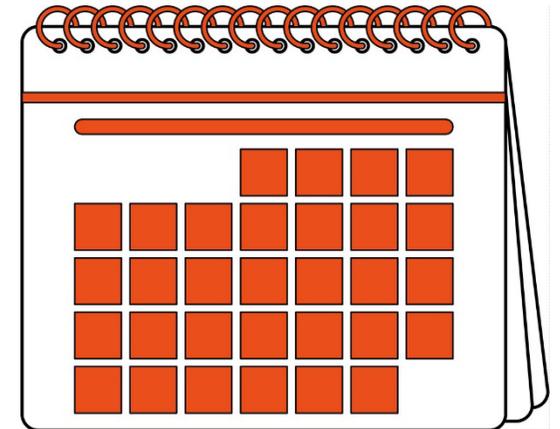
*General acute care hospitals, physician organizations and medical groups, skilled nursing facilities, health service plans and disability insurers, Medi-Cal managed care plans, clinical laboratories, and acute psychiatric hospitals. County health, public health, and social services providers are encouraged to connect to the DxF.

**Physician practices of <25 physicians, rehabilitation hospitals, long-term acute care hospitals, acute psychiatric hospitals, critical access hospitals, and rural general acute care hospitals with <100 acute care beds, state-run acute psychiatric hospitals, and nonprofit clinics with <10 providers

QHIO Program: Looking Forward

The QHIO Program may monitor DxF activity to ensure QHIOs are meeting their goals, serving DSA signatories and supporting the DxF.

As new transaction patterns and technologies emerge, the QHIO Program will update the capabilities expected of QHIOs, providing ample time for QHIOs and their signatories to implement these new capabilities.



Wrap Up & Q&A

Question & Answer



Upcoming DxF Meetings & Mailing List

- 1. Join our DSA Town Hall on January 6, 2023, 11:00 AM to 12:00 PM and our next Information is Power webinar on January 19, 2023, 9:00 AM to 10:00 AM.**
- 2. Participate in IAC or DSA P&P SC meetings.**
 - **DSA P&P SC Meeting #3:** December 15, 2022, 9:00 AM to 11:30 AM.
 - **IAC Meeting #3A:** December 20, 2022, 10:00 AM to 1:00 PM.
 - Meeting materials, participation information, and recordings will be posted on the [CalHHS DxF website](#).
- 3. Join mailing list by emailing cdii@chhs.ca.gov.**
 - CDII welcomes suggestions for future webinar topics.

DxF Website Resources

For more information on the DxF, please visit our Website at:

www.chhs.ca.gov/data-exchange-framework/

There you can find:

- The DxF, DSA, and P&Ps.
- Materials from previous and upcoming meetings, webinars, and listening sessions.
- FAQs on the DxF Data Sharing Agreement and the DSA Signing Portal.