Welcome

Today's **DxF Grants Program Listening Session** is designed to gather input from participants on DxF implementation challenges, technical assistance opportunities to address those challenges, and the administration of the grant program.

Introductions

John Ohanian
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Deputy Director, CDII

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Senior Manager, Manatt
Facilitator

Agenda

- **Welcome and Participation Instructions** – *10 min*

- **Overview of DxF Grant Program** – *10 min*

- **Understanding Barriers to Implementation** – *20 min*

- **Grant Opportunities** – *30 min*

- **Grants Application Process** – *15 min*

- **Closing & Next Steps** – *5 min*

Meeting Participation Options

Live Polling is unavailable outside of the official Listening Session events.

Written Comments

Live Polling

Verbal Comments

If you were unable to attend a listening session, you can respond to these poll questions through the DxF Grant Listening Session Survey.

CalHHS — California Health & Human Services Agency

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Meeting Participation Options
Written Comments

During the session:

- Participants may submit comments and questions through the **Zoom Q&A box**; all comments will be recorded and reviewed by staff.

After the session:

- Participants may also submit comments and questions – as well as requests to receive Data Exchange Framework updates – to CDII@chhs.ca.gov.

Meeting Participation Options
Poll Responses

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During the session, Participants will be encouraged to engage in a series of live polls using their mobile device or web browser on their computer.

During the session:

- Participants are invited to participate in our **Live Polling** to provide immediate feedback.

After the session:

- Individuals who were not able to participate in the Live Polling can submit poll responses through the **DxF Grants Survey** that we'll share after the session.

Meeting Participation Options
Poll Responses

How to join

Web

1. Go to **PollEv.com**
2. Enter **CALIFORNIADXF**

OR

Scan this QR Code:

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Meeting Participation Options
Poll Responses

1. Join the Presentation
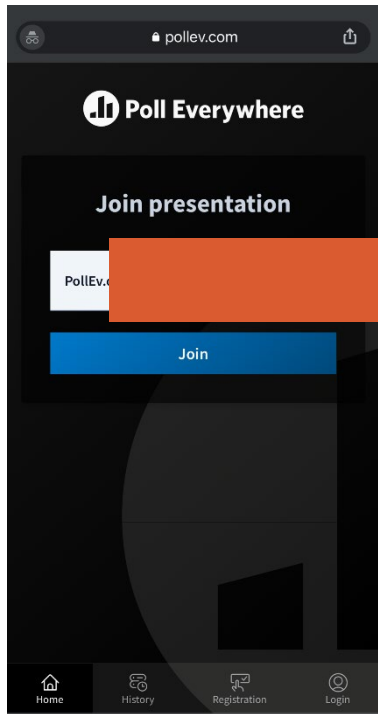
2. Enter a name

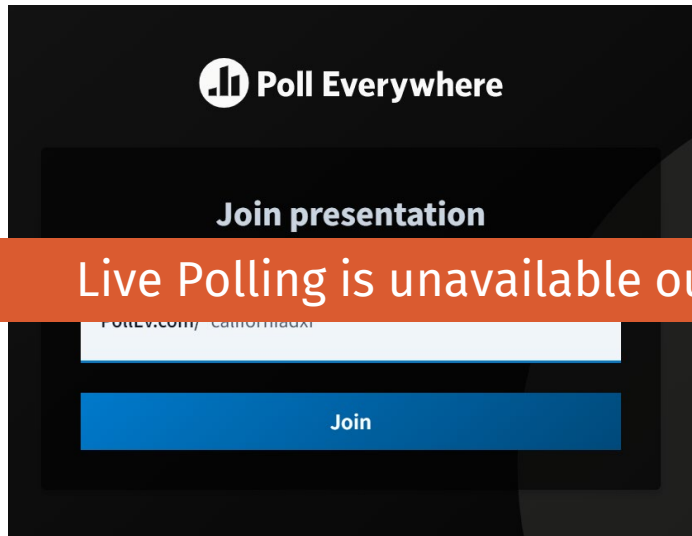Live Polling is unavailable outside of the live Listening Session events.

Mobile

Computer

Welcome to californiadxf's presentation!

Introduce yourself

Enter the screen name you would like to appear alongside your

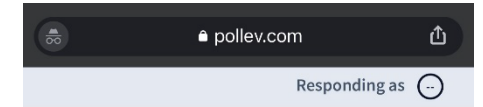Name   0 / 50

Continue

Skip

Computer

Welcome to californiadxf's presentation!

alongside your responses.

Name   0 / 50

Continue

Skip

Using a screen name allows the presenter and other participants to attach your screen name to your responses. You can change your screen name at any time.

Mobile

1. Go to **PollEv.com**
2. Enter **CALIFORNIADXF**

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Meeting Participation Options
Poll Responses

3. Holding Screen

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Program Listening Session.

As soon as the session is underway, you'll see the questions pop up on this screen. Stay put!

History Registration Home Present Log in

As soon as the session is underway, you'll see it on the screen here. Stay put!

Computer

Mobile

Meeting Participation Options
Poll Responses: Practice Question

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Meeting Participation Options
Verbal Comments

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If you logged on from <u>offsite</u> via <u>Zoom interface</u> Press "Raise Hand" in the "Reactions" button on the screen If selected to share your comment, you will receive a request to "unmute;" please ensure you accept before speaking	**If you logged on via <u>phone-only</u>** Press "*9" on your phone to "raise your hand" Listen for your <u>phone number</u> to be called by moderator If selected to share your comment, please ensure you are "unmuted' on your phone by pressing "*6"

DxF Grant Program Overview (10 min)

What is the Data Exchange Framework?

California's Health and Human Services **Data Exchange Framework** is a first-ever, statewide data sharing agreement and associated policy documents that will accelerate and expand the exchange of health information electronically among health care entities, government agencies, and social service programs.

Want to learn more? You can find more on the DxF Webpage:
Data Exchange Framework Homepage
Data Exchange Framework Public Webinar #1

What Is The DxF Data Sharing Agreement?

AB 133 requires the establishment of a single data sharing agreement and a common set of policies and procedures that govern and require the exchange of health information.

The DxF Data Sharing Agreement (DSA) is:

- A legal agreement that a broad spectrum of health organizations will be required to execute by January 31, 2023.

- Intended to facilitate data exchange between Participants in compliance with applicable federal, state, and local laws, regulations and policies.

- Sets forth a common set of terms, conditions, and obligations to support secure real-time access to, or exchange of, Health and Social Services Information between and among Participants.

The DSA is __not__ intended to replace or supersede any existing or future agreement between or among Participants that provides for more extensive data exchange than it requires.

Source: CalHHS *DxF Data Sharing Agreement*.

Who Has To Sign the DSA?

#	Required Signatory Type
1	**General acute care hospitals**, as defined by Section 1250.
2	**Physician organizations and medical groups.***
3	**Skilled nursing facilities**, as defined by Section 1250, that currently maintain electronic records.
4	**Health care service plans and disability insurers that provide hospital, medical, or surgical coverage** that are regulated by the Department of Managed Health Care or the Department of Insurance. This section shall also apply to a Medi-Cal managed care plan under a comprehensive risk contract with the State Department of Health Care Services pursuant to Chapter 7 (commencing with Section 14000) or Chapter 8 (commencing with Section 14200) of Part 3 of Division 9 of the Welfare and Institutions Code that is not regulated by the Department of Managed Health Care or the Department of Insurance.
5	**Clinical laboratories**, as that term is used in Section 1265 of the Business and Professions Code, and that are regulated by the State Department of Public Health.
6	**Acute psychiatric hospitals**, as defined by Section 1250.

*Additional guidance and rulemaking will be needed to more precisely define what entities in this Signatory Type are subject to the mandate, and whether they are regulated by a state agency.

**Note: Some entities have until January 31, 2026 to begin exchanging data. These entities are: physician practices of <25 physicians, rehabilitation hospitals, long-term acute care hospitals, acute psychiatric hospitals, critical access hospitals, and rural general acute care hospitals with <100 acute care beds, state-run acute psychiatric hospitals, and nonprofit clinics with <10 providers.

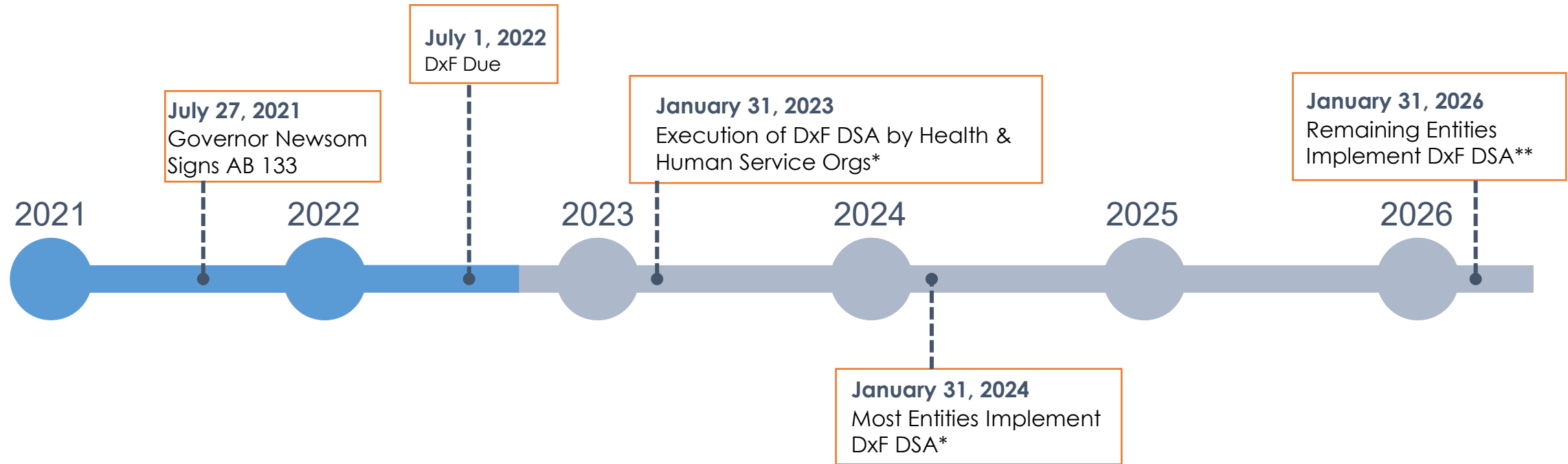# What Are Qualified Health Information Organizations?

CDII in 2023 will **establish a Qualified Health Information Organization ("QHIO") program** to help signatories meet their obligations under the DxF and AB-133

What is an HIO? What is a QHIO?

A **Health Information Organization (HIO)** is an organization that offers services and functions to support the exchange of health information. The HIO serves as an intermediary, assisting health and human services organizations as they initiate, receive, and reply to requests for information.

A **Qualified Health Information Organization (QHIO)** is an HIO that has demonstrated their ability to meet DxF/DSA data exchange requirements. As part of the DxF implementation program, CDII will establish these criteria as well as a process to qualify HIOs to validate their credentials.

What Is the DxF Timeline?

July 27, 2021
Governor Newsom Signs AB 133

July 1, 2022
DxF Due

January 31, 2023
Execution of DxF DSA by Health & Human Service Orgs*

January 31, 2024
Most Entities Implement DxF DSA*

January 31, 2026
Remaining Entities Implement DxF DSA**

2021 — 2022 — 2023 — 2024 — 2025 — 2026

*General acute care hospitals, physician organizations and medical groups, skilled nursing facilities, health service plans and disability insurers, Medi-Cal managed care plans, clinical laboratories, and acute psychiatric hospitals. County health, public health, and social services providers are encouraged to connect to the DxF.
**Physician practices of <25 physicians, rehabilitation hospitals, long-term acute care hospitals, acute psychiatric hospitals, critical access hospitals, and rural general acute care hospitals with <100 acute care beds, state-run acute psychiatric hospitals, and nonprofit clinics with <10 providers

DxF Grant Program
Overview

CDII will administer $50 million in funding over two years to provide **education, technical assistance, and HIO onboarding support** for DxF signatories to implement the DxF.

Key Program Goals

Support DxF implementation among DxF signatories in under-resourced geographies and/or serving historically marginalized populations and underserved communities

Address significant barriers to DxF implementation (operational, technical, or other) for DxF signatories

Align across other grant programs and promote activities ineligible for funding by other grant programs (past or present)

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DxF Grant Program
Preliminary Domains

Preliminary Domains	Domain Description	Potential Recipients	Anticipated Application Period
Educational Initiatives	Provides grant funding for educational initiatives designed to provide information about the DxF to signatories, such as webinars and conference sessions	Associations with relevant experience	Starting Q4 2022
Technical Assistance	Provides grant funding for process of selecting qualified HIO, contracting, consulting, advisement, and other TA needs	DxF signatories	Starting Q1 2023
HIO Onboarding	Provides grant funding for the initial costs of connecting to a qualified HIO	DxF signatories	Starting Q2 2023

DxF Grant Program
Educational Initiatives Grants

Barriers

DxF Signatories may need support to understand their DSA responsibilities and how to meet the requirements of the DxF.

Grant Funding to Address Barriers

CDII is funding DxF-related educational initiatives that will provide educational and informational activities for DxF signatories and are tailored by signatory group.

Potential DxF Educational Activities

- Webinars
- Conference Sessions
- Townhalls
- Newsletters
- Training Toolkits
- Learning Collaboratives
- Roadshows
- Communication Campaigns
- Provider Websites

Eligible organizations for these grants are 501(c)3, 501(c)4, or 501(c)6 associations serving organizations who will sign the DSA.

DxF Grant Program
TA & HIO Onboarding Grants

🚧 Barriers

DxF Signatories may need support with implementation of the DxF, including identifying and contracting with eligible QHIOs, adjusting workflows and existing technologies to facilitate data exchange, and onboarding to a QHIO.

Grant Funding to Address Barriers

CDII is funding DxF TA & HIO onboarding support that cover vendor identification, consulting/project management support, initial onboarding costs to a qualified HIO, and other related services.

Potential DxF TA & HIO Onboarding Activities

- Hiring expert to evaluate and select a QHIO
- Hiring consultant to design and host trainings on new workflows
- Paying for legal fees for QHIO contracting
- Paying initial QHIO fees

Additional activities to be informed by this listening session

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Poll 1: Which of the Following <u>Best</u> Describes Your Organization?

Reminder: If you have already joined, you don't need to reregister.

We will be gathering feedback today…

On…

1. What are the operational, technical, or technological barriers for your organization to implement the DxF? (20 minutes)

2. How might DxF grants funds help address those barriers? (30 minutes)

3. What would make it easier for your organization to apply for a DxF Grant? (15 minutes)

Through…

Written Comments

Live Polling

Verbal Comments
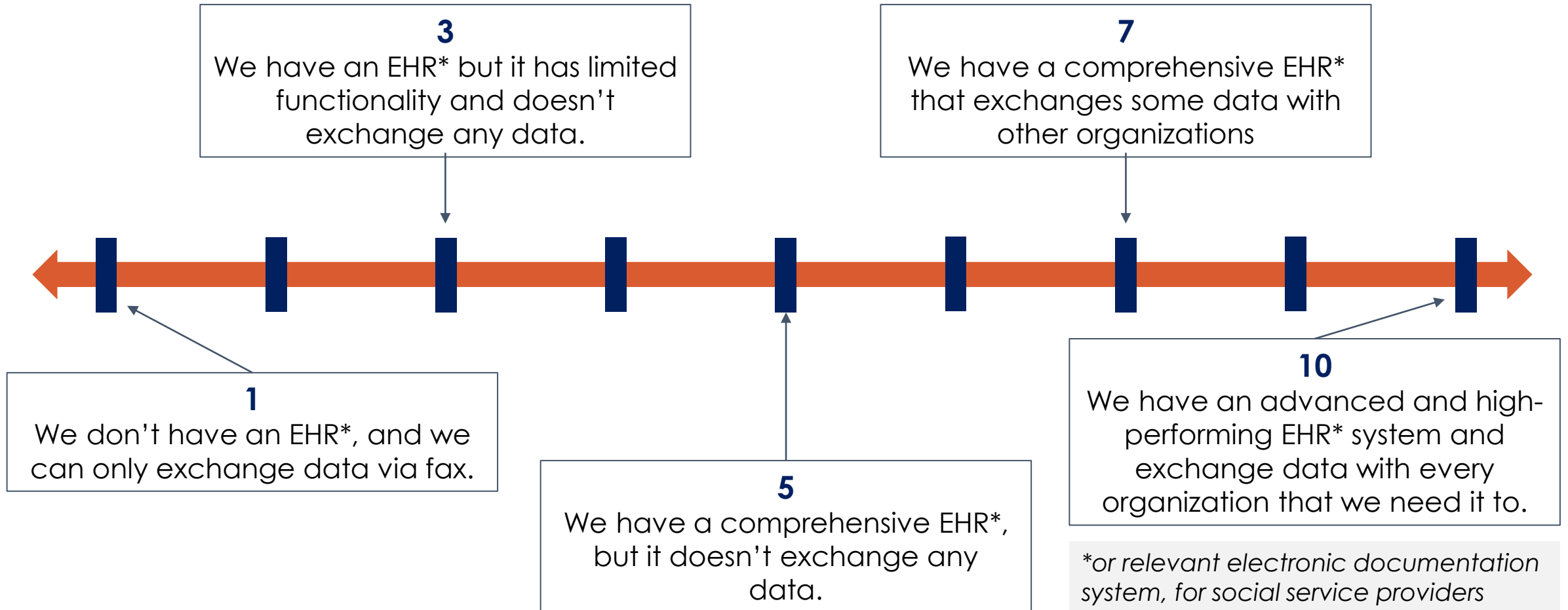
Understanding Barriers to Implementation (20 min)
*What are the operational, technical, or technological barriers
for your organization to implement the DxF?*

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Poll 2: What Is The Current State of HIT Functionality Within your Organization?

Reminder: If you have already joined, you don't need to reregister.

Poll 2: What Is the Current State of HIT Functionality Within Your Organization?

3
We have an EHR* but it has limited functionality and doesn't exchange any data.

7
We have a comprehensive EHR* that exchanges some data with other organizations

1
We don't have an EHR*, and we can only exchange data via fax.

5
We have a comprehensive EHR*, but it doesn't exchange any data.

10
We have an advanced and high-performing EHR* system and exchange data with every organization that we need it to.

*or relevant electronic documentation system, for social service providers

What Are Your Biggest Barriers to DxF Implementation?

Think about the kinds of operational, technical, and technological barriers your organization may face…

Example: Need to design and implement new workflows for meaningful use of exchanged data

Example: Need to redesign current EHR to incorporate exchanged data.

Operational Barriers

Technical Barriers

Technological Barriers

Knowledge Gap

Example: Need to connect and onboard onto an HIO.

Example: Uncertain understanding of data exchange requirements and policies.

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Poll 3: What Are Your Biggest Barriers to DxF Implementation?

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Additional Comments

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Grant Opportunities (30 min)
How might DxF grants funds help address the barriers we just discussed?

Implementation Support through Grants

Reflecting on the barriers we just discussed, consider the types **of activities or costs that could be funded through DxF Grant Program** to address those barriers.

Examples of TA Activities

- Hiring expert to evaluate and select a Qualified Health Information Organization
- Hiring consultant to design and host trainings on new workflows that incorporate shared data into clinical operations
- Paying for project manager time to oversee the DxF implementation
- Paying for legal fees associate with developing HIO contracts

Examples of HIO Onboarding Costs

- Initial QHIO onboarding fees
- Costs to change EHR interface
- Fees/compensation for IT staff working on HIO onboarding

We want to hear from you: What kinds of supports do you need?

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Poll 4: What Types Of TA Would Be Most Helpful?

Reminder: If you have already joined, you don't need to reregister.

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Poll 5: Assessing Current State Of HIO Connection

Reminder: If you have already joined, you don't need to reregister.

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Grant Application Process (15 min)
What would make it easier for your organization to apply for a DxF Grant?

How Will Signatories Apply for Grants?

DxF Grant Program is expected to provide funding for signatories to procure technical assistance or to cover IT systems costs.

What will you need to apply?

Funding for Technical Assistance

- TA vendor identified.

Funding for HIO Onboarding

- QHIO identified.

How will you apply?

- **Application Portal** is expected to open in early 2023, with more application details released later in 2022.
- CDII expects to award grants for applications submitted in a given quarter by the end of the following quarter.
  - i.e. Grants applications submitted in Q1 will be awarded by the end of Q2 .

Signatory grant application requirements and processes are under development and subject to change.

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Poll 6: What Are Factors That Can Make Grant Applications A Challenge For Your Organization?
Please reflect on previous experiences applying for funding support.

Reminder: If you have already joined, you don't need to reregister.

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Poll 7: What Resources or Processes Could Help Your Organization Apply For The DxF Grant Program?
Please reflect on previous experiences applying for funding support.

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Additional Comments

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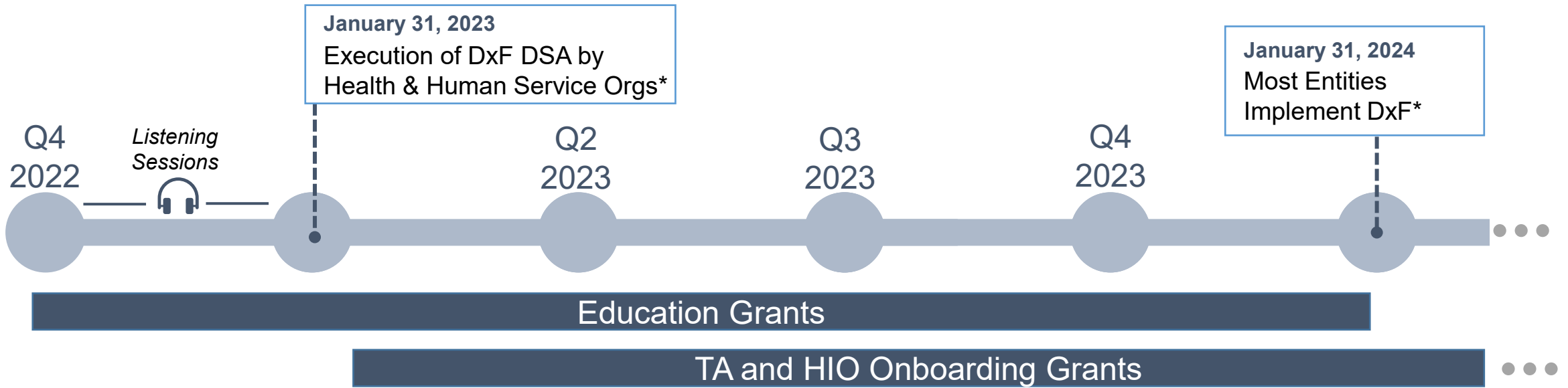| Logged into Zoom	Phone Only
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Closing

DxF Grant Program
Path Forward

January 31, 2023
Execution of DxF DSA by Health & Human Service Orgs*

January 31, 2024
Most Entities Implement DxF*

Q4 2022 — *Listening Sessions* — Q2 2023 — Q3 2023 — Q4 2023

Education Grants

TA and HIO Onboarding Grants

*General acute care hospitals, physician organizations and medical groups, skilled nursing facilities, health service plans and disability insurers, Medi-Cal managed care plans, clinical laboratories, and acute psychiatric hospitals. County health, public health, and social services providers are encouraged to connect to the DxF.

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Additional Feedback

Participants may submit additional comments and questions – as well as requests to receive Data Exchange Framework updates – to CDII@chhs.ca.gov.

Thank You!