

Data Exchange Framework (DxF) Glossary of Defined Terms

This Data Exchange Framework (DxF) Glossary of Defined Terms includes the definitions used in the Data Sharing Agreement (DSA) and its Policies and Procedures. These definitions have been aggregated here in this Glossary as a central library of defined terms for those seeking such a reference. Each definition is presented along with a list of the document(s) in which the definition appears.

This is provisional glossary draft subject to change.

Access

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Access” means the ability or means necessary to make Health and Social Services Information available for Exchange or Use.</p>	<ul style="list-style-type: none"> • Information Blocking • Privacy Standards and Security Safeguards • Technical Requirements

Admit, Discharge, Transfer (ADT) Event

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Admit, Discharge, Transfer (ADT) Event” means, at a minimum, admission to a Hospital or Emergency Department, discharge from a Hospital or Emergency Department, or transfer from a Hospital or Emergency Department to another healthcare facility in which the admission, discharge, or transfer reflects an actual change in patient status, including cancellations of an admission, discharge, or transfer. ADT Events may include intra-facility transfers if requested by the Participant and supported by the Hospital or Emergency Department.</p>	<ul style="list-style-type: none"> • Real-Time Exchange • Technical Requirements

Agreement

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Agreement” shall mean this Data Sharing Agreement, the Policies and Procedures and the Specifications.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement

Applicable Law

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Applicable Law” means all federal, state, local, or tribal laws and regulations then in effect and applicable to the subject</p>	<ul style="list-style-type: none"> • Data Sharing Agreement • Breach Notification • Data Elements to Be Exchanged

<p>matter herein. For the avoidance of doubt, federal government entities are only subject to federal law.</p>	<ul style="list-style-type: none"> • Development of and Modification to P&Ps • Individual Access Services • Information Blocking • Permitted, Required and Prohibited Purposes • Privacy Standards and Security Safeguards • Requirement to Exchange HSSI • Process for Amending the DSA • Technical Requirements
--	---

Authorization

<p>Definition <i>(last updated 06.02.23)</i></p>	<p>Documents</p>
<p>“Authorization” means and includes the requirements set forth at 45 CFR § 164.508 of the HIPAA Regulations and at Cal. Civ. Code §§ 56.11 and 56.21. The term shall include all requirements for obtaining consent to disclose confidential substance abuse disorder treatment records as set forth in 42 C.F.R. § 2.31, when applicable, and shall include any additional requirements under Applicable Law to disclose PHI or PII.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement • Privacy Standards and Security Safeguards • Permitted, Required and Prohibited Purposes • Technical Requirements

Behavior

<p>Definition <i>(last updated 06.02.23)</i></p>	<p>Document</p>
<p>“Behavior” means any act or omission by a Participant.</p>	<ul style="list-style-type: none"> • Information Blocking

Breach

<p>Definition <i>(last updated 06.02.23)</i></p>	<p>Documents</p>
<p>“Breach” shall mean the unauthorized acquisition, Access, Disclosure or Use of Health and Social Services Information in a manner not permitted by the DSA or Applicable Law. This includes both:</p> <ol style="list-style-type: none"> 1. Unencrypted data that was, or is reasonably believed to have been, acquired by an unauthorized person, and 2. Encrypted data that was, or is reasonably believed to have been, acquired by an unauthorized person and the encryption key or security credential was, or has been reasonably believed to have been, acquired by an unauthorized person and the agency that owns or licenses the encrypted information has a reasonable belief that the encryption key or security credential could render that data readable or usable. 	<ul style="list-style-type: none"> • Data Sharing Agreement <i>(via reference to Breach Notification P&P)</i> • Breach Notification

Business Associate

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Business Associate” means an organization that is defined as a “business associate” in 45 C.F.R. § 160.103 of the HIPAA Regulations.</p>	<ul style="list-style-type: none"> • Individual Access Services • Permitted, Required and Prohibited Purposes • Privacy Standards and Security Safeguards

Business Associate Agreement

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Business Associate Agreement” means a contract, agreement, or other arrangement that satisfies the requirements of 45 C.F.R. § 164.504(e), as applicable. With regard to Governmental Participants, a Business Associate Agreement may be a memorandum of understanding that satisfies the requirements of 45 C.F.R. § 164.504(e)(3).</p>	<ul style="list-style-type: none"> • Individual Access Services

Confidential Participant Information

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Confidential Participant Information” means proprietary or confidential materials or information of a Participant in any medium or format that a Participant labels as such upon disclosure or that given the nature of the information or the circumstances surrounding its disclosure, reasonably should be considered confidential. Notwithstanding any label to the contrary, Confidential Participant Information does not include any information which is or becomes known publicly through no fault of the party to which such information is disclosed (a “Receiving Party”); is learned of by a Receiving Party from a third party entitled to disclose it; is already known to a Receiving Party before receipt from the disclosing Participant as documented by the Receiving Party’s written records; or is independently developed by a Receiving Party without reference to, reliance on, or use of the disclosing Participant’s Confidential Participant Information.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement

Covered Entity

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Covered Entity” shall have the meaning set forth at 45 C.F.R. § 160.103 and shall also include the following as these terms are defined in California Civil Code § 56.05: “provider of health care,” “health care service plan,” and “licensed health care professional.”</p>	<ul style="list-style-type: none"> • Data Sharing Agreement • Privacy Standards and Security Safeguards

De-Identify

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“De-identify” means health information that does not identify an individual and with respect to which there is no reasonable basis to believe that the information can be used to identify an individual, as the term is used in 45 C.F.R. § 165.514</p>	<ul style="list-style-type: none"> • Privacy Standards and Security Safeguards

Disclose or Disclosure

Definition <i>(last updated 06.15.23)</i>	Documents
<p>“Disclose” or “Disclosure” means the release, transfer, provision of access to, or divulging in any manner of Health and Social Services Information outside the entity holding Health and Social Services Information.</p>	<ul style="list-style-type: none"> • Privacy Standards and Security Safeguards • Technical Requirements

DSA

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“DSA” means the California Health and Human Services Data Exchange Framework Data Sharing Agreement.</p>	<ul style="list-style-type: none"> • Breach Notification • Data Elements to Be Exchanged • Development of and Modification to P&Ps • Information Blocking • Permitted, Required, and Prohibited Purposes • Process for Amending the DSA • Real-Time Exchange • QHIO • Requirement to Exchange HSSI

Early Exchange

Definition <i>(last updated 06.02.23)</i>	Document
“ Early Exchange ” means sharing Health and Social Services Information using the DSA before January 31, 2024.	<ul style="list-style-type: none">• Early Exchange

Electronic Health Information

Definition <i>(last updated 06.02.23)</i>	Document
“ Electronic Health Information ” means electronic protected health information as defined in 45 CFR § 160.103 to the extent that it would be included in a designated record set as defined in 45 CFR § 164.501, regardless of whether the group of records are used or maintained by or for a covered entity as defined in 45 CFR § 160.103, but EHI shall not include: (1) psychotherapy notes as defined in 45 CFR § 164.501; or (2) information compiled in reasonable anticipation of, or for use in, a civil, criminal, or administrative action or proceeding.	<ul style="list-style-type: none">• Data Elements to Be Exchanged

Exchange

Definition <i>(last updated 06.02.23)</i>	Documents
“ Exchange ” means the transmittal of Health and Social Services Information between and among different technologies, systems, platforms, or networks.	<ul style="list-style-type: none">• Information Blocking• Privacy Standards and Security Safeguards• Technical Requirements

Federal Information Blocking Regulations

Definition <i>(last updated 06.02.23)</i>	Document
“ Federal Information Blocking Regulations ” means the regulations set forth in 45 C.F.R. Part 171 (Information Blocking) of the Code of Federal Regulations, as may be amended.	<ul style="list-style-type: none">• Information Blocking

Governance Entity

Definition <i>(last updated 06.02.23)</i>	Documents
“ Governance Entity ” means the entity within the California Health and Human Services Agency established to oversee the California Data Exchange Framework, the DSA and its Policies and Procedures.	<ul style="list-style-type: none">• Data Sharing Agreement• Breach Notification• Development of and Modification to P&Ps• Early Exchange• Individual Access Services

	<ul style="list-style-type: none"> • Information Blocking • Privacy Standards and Security Safeguards • Process for Amending the DSA • QHIO • Real-Time Exchange • Requirement to Exchange HSSI • Technical Requirements
--	---

Governmental Participants

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Governmental Participants” means those Participants that are local (e.g., municipalities, counties), state, tribal, or federal entities.</p>	<ul style="list-style-type: none"> • Requirement to Exchange HSSI

Health and Social Services Information

Definition <i>(last updated 06.15.23)</i>	Documents
<p>“Health and Social Services Information” means any and all individually identifiable information received, stored, processed, generated, used, transferred, disclosed, made accessible, or shared pursuant to this Agreement, including but not limited to: (a) data elements as set forth in the applicable Policy and Procedure; (b) information related to the provision of health care services, including but not limited to PHI; and (c) information related to the provision of social services. Health and Social Services Information may include PHI, PII, and digital identities.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement
<p>“Health and Social Services Information” means any and all individually identifiable information received, stored, processed, generated, used, transferred, disclosed, made accessible, or shared pursuant to the DSA including but not limited to: (a) data elements as set forth in the applicable Policy and Procedure; (b) information related to the provision of health care services, including but not limited to PHI; and (c) information related to the provision of social services. Health and Social Services Information may include PHI, PII, and digital identities.</p>	<ul style="list-style-type: none"> • Breach Notification • Data Elements to Be Exchanged • Development of and Modification to P&Ps • Early Exchange • Individual Access Services • Information Blocking • Permitted, Required, and Prohibited Purposes Privacy Standards and Security Safeguards • QHIO • Real-Time Exchange • Requirement to Exchange HSSI

	<ul style="list-style-type: none"> • Technical Requirements
--	--

Health Information Exchange

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Health Information Exchange” means “health information exchange” as set forth in the Federal Information Blocking Regulations (45 C.F.R. § 171.102).</p>	<ul style="list-style-type: none"> • Information Blocking

Health Information Network

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Health Information Network” means “health information network” as set forth in the Federal Information Blocking Regulations (45 C.F.R. § 171.102).</p>	<ul style="list-style-type: none"> • Information Blocking

Health IT Developer of Certified Health IT

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Health IT Developer of Certified Health IT” means “health IT developer of certified health IT” as set forth in the Federal Information Blocking Regulations (45 C.F.R. § 171.102).</p>	<ul style="list-style-type: none"> • Information Blocking

Health Care Operations

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Health Care Operations” shall consist of the following activities:</p> <ol style="list-style-type: none"> 1. Quality Assessment and Improvement activities as described in subsection (1) of the definition of health care operations set forth at 45 C.F.R. § 164.501. 2. Population-based activities relating to improving health or reducing health care costs, protocol development, case management and care coordination and contacting of health care providers and patients with information about treatment alternatives as set forth at 45 C.F.R. § 164.501. 	<ul style="list-style-type: none"> • Permitted, Required, and Prohibited Purposes

HIPAA Regulations

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“HIPAA Regulations” means the standards for privacy of individually identifiable health information, the security</p>	<ul style="list-style-type: none"> • Data Sharing Agreement

standards for the protection of electronic protected health information and the breach notification rule (45 C.F.R. Parts 160 and 164) promulgated by the U.S. Department of Health and Human Services under the Health Insurance Portability and Accountability Act (HIPAA) of 1996, as in effect and as may be amended, modified, or renumbered.	<ul style="list-style-type: none"> • Development of and Modifications to P&Ps • Early Exchange • Information Blocking • Privacy Standards and Security Safeguards • QHIO • Real-Time Exchange • Requirement to Exchange HSSI
--	---

HIPAA Security Rule

Definition <i>(last updated 06.02.23)</i>	Documents
“ HIPAA Security Rule ” means the security standards for the protection of electronic protected health information (45 C.F.R. Part 164, Subpart C) promulgated by the U.S. Department of Health and Human Services under the Health Insurance Portability and Accountability Act (HIPAA) of 1996, as in effect and as may be amended, modified, or renumbered.	<ul style="list-style-type: none"> • Privacy Standards and Security Safeguards

Hospital or Emergency Department

Definition <i>(last updated 06.02.23)</i>	Documents
“ Hospital or Emergency Department ” means any emergency services or inpatient services setting, including but not limited to general acute care hospitals, acute psychiatric hospitals, and emergency departments, including standalone emergency departments and observation units.	<ul style="list-style-type: none"> • Real-Time Exchange • Technical Requirements

Hybrid Entity

Definition <i>(last updated 06.02.23)</i>	Document
“ Hybrid Entity ” shall have the same meaning as set forth in 45 C.F.R. § 164.103.	<ul style="list-style-type: none"> • Privacy Standards and Security Safeguards

Individual

Definition <i>(last updated 06.02.23)</i>	Documents
“ Individual ” means the person that is the subject of Health and Social Services Information being Accessed, Used, Disclosed or Exchanged.	<ul style="list-style-type: none"> • Data Sharing Agreement • Individual Access Services • Information Blocking

	<ul style="list-style-type: none"> • Permitted, Required and Prohibited Purposes • Privacy Standards & Security Safeguards • Real-Time Exchange • Requirements for Exchange of HSSI • Technical Requirements
--	---

Individual Access Services

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Individual Access Services” means the services provided to satisfy the right of an Individual or an Individual’s Personal Representative to access and to obtain a copy of the Individual’s PHI or PII under Applicable Law or to direct that it be sent to a third party consistent with 45 C.F.R. § 164.524 or any other Applicable Law or agreement.</p>	<ul style="list-style-type: none"> • Data Elements to Be Exchanged • Individual Access Services

Information Blocking

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Information Blocking” means any practice that is likely to interfere with Access, Exchange, or Use of Health and Social Services Information for a Required Purpose set forth in the Permitted, Required and Prohibited Purposes Policy and Procedure.</p>	<ul style="list-style-type: none"> • Information Blocking

Information Delivery

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Information Delivery” means the delivery of Health and Social Services Information regarding a specific Individual to a specific Participant in conjunction with an Order or Referral.</p>	<ul style="list-style-type: none"> • Technical Requirements

Interfere or Interference

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Interfere” or “Interference” means to prevent, materially discourage, or otherwise inhibit.</p>	<ul style="list-style-type: none"> • Information Blocking

Intermediary

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Intermediary” means a health information exchange network, health information organization, or technology vendor that assists a Participant in the Exchange of Health and Social Services Information and adheres to the standards and policies established by the DSA and its Policies and Procedures as applicable to the services provided to the Participant. Examples might include nationwide networks or frameworks, vendors that provide applicable services, health information organizations including Qualified HIOs, or community information exchanges.</p>	<ul style="list-style-type: none"> • Data Elements to Be Exchanged • Information Blocking • QHIO • Technical Requirements

Interoperability Element

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Interoperability Element” means as defined in 45 C.F.R. § 171.102, as may be amended: hardware, software, integrated technologies or related licenses, technical information, privileges, rights, intellectual property, upgrades, or services that:</p> <p>(1) May be necessary to Access, Exchange, or Use electronic health information; and</p> <p>(2) Is/Are controlled by the actor, which includes the ability to confer all rights and authorizations necessary to use the element to enable the Access, Exchange, or Use of electronic health information.</p>	<ul style="list-style-type: none"> • Information Blocking

Maintain

Definition <i>(last updated 06.15.23)</i>	Documents
<p>“Maintain” means that the entity has access to the data, control over the data, and the authority to make the data available, as defined for payers in the CMS Interoperability and Patient Access Final Rule but applied to entities beyond payers.</p>	<ul style="list-style-type: none"> • Data Elements to Be Exchanged • Privacy Standards and Security Safeguards • Technical Requirements

National and Federally Adopted Standards

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“National and Federally Adopted Standards” means standards specifically included by the US Department of Health and Human Services in a published version of the Standards Version Advancement Process.</p>	<ul style="list-style-type: none"> • Data Elements to be Exchanged • Technical Requirements

Nationwide Network or Framework

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Nationwide Network or Framework” means any vendor-agnostic health information network or health information exchange framework with nationwide scope, including coverage in California, available to some or all Participants for the exchange of Health and Social Services Information that may or may not have signed the DSA. Examples include, but are not limited to, eHealth Exchange, CommonWell Health Alliance, Carequality, DirectTrust, or Trusted Exchange Framework.</p>	<ul style="list-style-type: none"> • Technical Requirements

Notification of ADT Events

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Notification of ADT Events” means the message sent from a sending Participant to a receiving Participant with details of an ADT Event, including, at a minimum, the identity of the Individual who is the subject of the event, the Hospital or Emergency Department associated with the event, the event date and time, and the diagnosis or chief complaint associated with the event.</p>	<ul style="list-style-type: none"> • Real-Time Exchange • Technical Requirements

Order or Referral

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Order or Referral” means any request, electronic or otherwise, placed by a health professional for diagnostic services, including but not limited to requests for diagnostic clinical laboratory and radiology services, or for assessment, evaluation, or consultation services from another health or social services professional.</p>	<ul style="list-style-type: none"> • Real-Time Exchange • Technical Requirements

Participant

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Participant(s)” means an organization that is a signatory to the Data Sharing Agreement, including any identified subordinate entities of that signatory.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement • Breach Notification • Data Elements to Be Exchanged • Development of and Modification to P&Ps • Early Exchange • Individual Access Services • Information Blocking • Permitted, Required and Prohibited Purposes • Privacy Standards and Security Safeguards • Process for Amending the DSA • QHIO • Real-Time Exchange • Requirement to Exchange HSSI • Technical Requirements

Payment

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Payment” shall have the same meaning as set forth at 45 C.F.R. § 164.501 of the HIPAA Regulations.</p>	<ul style="list-style-type: none"> • Permitted, Required and Prohibited Purposes

Permitted Purposes

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Permitted Purposes” means the purposes for which Participants are permitted (but not required) to Exchange Health and Social Services Information as set forth in the Permitted, Required and Prohibited Purposes Policy and Procedure.</p>	<ul style="list-style-type: none"> • Data Elements to Be Exchanged

Person Matching

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Person Matching” means the process by which a Participant ensures that exchanged electronic Health and Social Services Information is appropriately linked to the correct real person.</p>	<ul style="list-style-type: none"> • Technical Requirements

Personal Representative

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Personal Representative” means a person who, under Applicable Law, has authority to make health care decisions on behalf of an Individual as set forth in 45 C.F.R. § 164.502(g) and Health and Safety Code § 123105(e).</p>	<ul style="list-style-type: none"> • Data Sharing Agreement • Individual Access Services • Information Blocking • Privacy Standards and Security Safeguards • Requirements to Exchange HSSI

Personally Identifiable Information (PII)

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Personally Identifiable Information” or “PII” shall have the same meaning as “Personal Information” set forth in Civil Code § 1798.140(v), but shall be limited to PII exchanged pursuant to this Agreement.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement
<p>“Personally Identifiable Information” or “PII” shall have the same meaning as “Personal Information” set forth in Civil Code § 1798.140(v), but shall be limited to PII exchanged pursuant to the DSA.</p>	<ul style="list-style-type: none"> • Development of and Modification to P&Ps • Early Exchange • Information Blocking • Privacy Standards and Security Safeguards • QHIO • Real-Time Exchange • Requirement to Exchange HSSI

Policies and Procedures

Definition <i>(last updated 06.02.23)</i>	Documents
“ Policies and Procedures ” means the policies and procedures adopted by the Governance Entity pursuant to this Agreement.	<ul style="list-style-type: none"> • Data Sharing Agreement
“ Policies and Procedures ” means the policies and procedures adopted by the Governance Entity pursuant to the DSA.	<ul style="list-style-type: none"> • Development of and Modification to P&Ps • Early Exchange • Information Blocking • Privacy Standards and Security Safeguards • Process for Amending the DSA • QHIO • Real-Time Exchange • Requirement to Exchange HSSI

Professional

Definition <i>(last updated 06.02.23)</i>	Document
“ Professional ” means the person who makes the determination as to the potential risk of harm from releasing Health and Social Services Information. A Professional can be an employee, representative, contractor, or agent of a Participant.	<ul style="list-style-type: none"> • Information Blocking

Professional Relationship

Definition <i>(last updated 06.02.23)</i>	Document
“ Professional Relationship ” means the Professional has either a current or prior direct working relationship with the Individual or sufficient professional knowledge and interaction with the Health and Social Services Information of the Individual maintained by the Participant to make a determination as to the potential risk of harm from releasing the information. Professional Relationship, as used in this policy, shall specifically exclude Qualified Health Information Organizations or other Intermediaries that facilitate the Exchange of Health and Social Services Information between Participants.	<ul style="list-style-type: none"> • Information Blocking

Protected Health Information (PHI)

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Protected Health Information” or “PHI” shall refer to “protected health information” as set forth at 45 C.F.R. § 160.103 of the HIPAA Regulations and “medical information” as set forth at Civil Code § 56.05</p>	<ul style="list-style-type: none"> • Data Sharing Agreement • Development of and Modification to P&Ps • Early Exchange • Individual Access Services • Information Blocking • Privacy Standards and Security Safeguards • QHIO • Real-Time Exchange • Requirement to Exchange HSSI

Public Health Activities

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Public Health Activities” shall mean an access, use or disclosure permitted under the HIPAA Regulations and any other Applicable Law for public health activities and purposes, including an access, use or disclosure permitted under 45 C.F.R. § 164.512(b) and 45 C.F.R. § 164.514(e). Public Health Activities excludes the following oversight activities: audits; civil, administrative or criminal investigations; inspections; licensure or disciplinary actions; and civil, administrative or criminal proceedings or actions other than enforcement activities by a county health officer that are authorized under Cal. Health & Safety Code § 101030.</p>	<ul style="list-style-type: none"> • Permitted, Required and Prohibited Purposes

Qualified Health Information Organization or Qualified HIO

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Qualified Health Information Organization” or “Qualified HIO” shall mean a state-designated data exchange Intermediary that facilitates the exchange of Health and Social Services Information between Participants.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement • Information Blocking • Permitted, Required and Prohibited Purposes • QHIO

Qualified HIO Program

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Qualified HIO Program” means the requirements made publicly available by CDII that an Intermediary must satisfy to obtain and maintain its designation as a Qualified HIO.</p>	<ul style="list-style-type: none"> • QHIO

Receiving Party

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Confidential Participant Information” shall mean proprietary or confidential materials or information of a Participant in any medium or format that a Participant labels as such upon disclosure or that given the nature of the information or the circumstances surrounding its disclosure, reasonably should be considered confidential. Notwithstanding any label to the contrary, Confidential Participant Information does not include any information which is or becomes known publicly through no fault of the party to which such information is disclosed (a “Receiving Party”); is learned of by a Receiving Party from a third party entitled to disclose it; is already known to a Receiving Party before receipt from the disclosing Participant as documented by the Receiving Party’s written records; or is independently developed by a Receiving Party without reference to, reliance on, or use of the disclosing Participant’s Confidential Participant Information.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement

Recipient

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Recipient” shall mean a Participant that receives Health and Social Services Information from a Submitter. For purposes of illustration only, Recipients include, but are not limited to, Participants who receive queries, responses, subscriptions, publications or unsolicited messages.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement

Request for Information

Definition <i>(last updated 06.02.23)</i>	Documents
“ Request for Information ” means a request by a Participant for Health and Social Services Information regarding a specific Individual from one or more Participant(s) and the associated response(s) of Participant(s) to that request.	<ul style="list-style-type: none"> • Technical Requirements for Exchange

Required Purposes

Definition <i>(last updated 06.02.23)</i>	Documents
“ Required Purposes ” means the purposes for which Participants are required to Exchange Health and Social Services Information as set forth in the Permitted, Required and Prohibited Purposes Policy and Procedure.	<ul style="list-style-type: none"> • Data Elements to Be Exchanged • Information Blocking • Real-Time Exchange • Technical Requirements

Research

Definition <i>(last updated 06.02.23)</i>	Document
“ Research ” shall mean a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge.	<ul style="list-style-type: none"> • Permitted, Required and Prohibited Purposes

Sale of Health and Social Services Information

Definition <i>(last updated 06.02.23)</i>	Document
“ Sale of Health and Social Services Information ” shall mean the Disclosure of Health and Social Services Information in return for direct or indirect remuneration from or on behalf of the recipient of the Health and Social Services Information. Sale of Health and Social Services Information shall not include the Disclosure of Health and Social Services Information for the activities set forth in 45 C.F.R. § 164.502(a)(5)(ii)(B)(2).	<ul style="list-style-type: none"> • Permitted, Required and Prohibited Purposes

Securely Destroy

Definition <i>(last updated 06.02.23)</i>	Document
“ Securely Destroy ” means consistent with Applicable Law and with generally accepted industry standards.	<ul style="list-style-type: none"> • Privacy Standards and Security Safeguards

Social Services

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Social Services” means the delivery of items, resources, and/or services to address social determinants of health and social drivers of health, including but not limited to housing, foster care, nutrition, access to food, transportation, employment, and other social needs.</p>	<ul style="list-style-type: none"> • Data Services Agreement • Development of and Modification to P&Ps • Early Exchange • Information Blocking • Privacy Standards and Security Safeguards • QHIO • Real-Time Exchange

Social Services Organization

Definition <i>(last updated 06.02.23)</i>	Documents
<p>“Social Services Organization” means a person or entity whose primary business purpose is to provide Social Services to individuals. Social Services Organizations can include but are not limited to government entities (including multi-department health and human services agencies), community-based organizations, nonprofits, and private entities.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement • Development and Modification to P&Ps • Early Exchange • Information Blocking • Privacy Standards and Security Safeguards • QHIO • Real-Time Exchange • Requirement to Exchange HSSI

Specifications

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Specifications” shall mean the specifications adopted by the Governance Entity pursuant to this Agreement to establish (i) minimum data content required for particular data exchange use cases and (ii) technical and security requirements to enable the Participants to exchange Health and Social Services Information. Specifications may include, but are not limited to, specific network standards, services, and policies.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement

Submitter

Definition <i>(last updated 06.02.23)</i>	Document
<p>“Submitter” shall mean a Participant that submits Health and Social Services Information to a Recipient.</p>	<ul style="list-style-type: none"> • Data Sharing Agreement

System

Definition <i>(last updated 06.02.23)</i>	Document
“ System ” shall mean software, portal, platform, or other electronic medium controlled by a Participant through which the Participant conducts Health and Social Services Information exchange-related activities. For purposes of this definition, it shall not matter whether the Participant controls the software, portal, platform, or medium through ownership, lease, license, or otherwise.	<ul style="list-style-type: none">• Data Sharing Agreement

Treatment

Definition <i>(last updated 06.02.23)</i>	Documents
“ Treatment ” shall have the same meaning as set forth at 45 C.F.R. § 164.501 of the HIPAA Regulations and in Cal. Civ. Code § 56.10(c).	<ul style="list-style-type: none">• Data Sharing Agreement• Real-Time Exchange• Permitted, Required and Prohibited Purposes

Use

Definition <i>(last updated 06.02.23)</i>	Documents
“ Use ” means the ability for Health and Social Services Information, once Accessed or Exchanged, to be understood and acted upon.	<ul style="list-style-type: none">• Information Blocking• Privacy Standards & Security Safeguards• Technical Requirements for Exchange

Voluntary Signatories

Definition <i>(last updated 06.02.23)</i>	Document
“ Voluntary Signatories ” means Participants who are not required by California Health and Safety Code § 130290 to sign the DSA, but who elect to do so.	<ul style="list-style-type: none">• Requirement to Exchange Health and Social Services Information

Workforce

Definition <i>(last updated 06.02.23)</i>	Document
“ Workforce ” means employees, contractors, volunteers, trainees, and other persons whose conduct, in the performance of work for a Participant, is under the direct control of the Participant, whether or not they are paid by the Participant.	<ul style="list-style-type: none">• Privacy Standards and Security Safeguards

Revision History

Date	Version	Description
06.02.2023	V1.0	Initial draft of DxF Glossary of Defined Terms
06.22.2023	V1.1	Updated Disclose, Health and Social Services Information, and Maintain