



Data Exchange Framework

Implementation Advisory Committee (IAC) Meeting #11

Thursday, November 16, 2023

9:00 a.m. – 11:00 a.m. PT



Meeting Participation Options

Onsite

- Members who are onsite are encouraged to log in through their panelist link on Zoom.
 - Members are asked to **keep their laptop's video, microphone, and audio off** for the duration of the meeting.
 - The room's cameras and microphones will broadcast the video and audio for the meeting.
- Instructions for connecting to the conference room's Wi-Fi are posted in the room.
- Please email Amanda Johnston (amanda.johnston@chhs.ca.gov) with any technical or logistical questions about onsite meeting participation.

Meeting Participation Options

Written Comments

- Participants may submit comments and questions through the **Zoom Q&A box**; all comments will be recorded and reviewed by CDII staff.
- Participants may also submit comments and questions – as well as requests to receive Data Exchange Framework updates – to CDII@chhs.ca.gov or DxF@chhs.ca.gov.
 - Questions that require follow up should be sent to CDII@chhs.ca.gov or DxF@chhs.ca.gov.

Meeting Participation Options

Spoken Comments

- **Members of the public and IAC Members** must “raise their hand” for Zoom facilitators to unmute them to share comments; the Chair will notify participants/Members of appropriate time to volunteer feedback.

Onsite		Offsite	
Logged into Zoom	Not Logged into Zoom	Logged into Zoom	Phone Only
<p>If you logged on <u>onsite</u> via <u>Zoom interface</u></p> <p>Press “Raise Hand” in the “Reactions” button on the screen or physically raise your hand</p> <p>If selected to share your comment, please begin speaking and <u>do not unmute your laptop</u>. The room’s microphones will broadcast audio</p>	<p>If you are onsite and <u>not using Zoom</u></p> <p>Physically raise your hand, and the chair will recognize you when it is your turn to speak</p>	<p>If you logged on from <u>offsite</u> via <u>Zoom interface</u></p> <p>Press “Raise Hand” in the “Reactions” button on the screen</p> <p>If selected to share your comment, you will receive a request to “unmute;” please ensure you accept before speaking</p>	<p>If you logged on via <u>phone-only</u></p> <p>Press “*9” on your phone to “raise your hand”</p> <p>Listen for your <u>phone number</u> to be called by moderator</p> <p>If selected to share your comment, please ensure you are “unmuted” on your phone by pressing “*6”</p>

Public Comment Opportunities

- Public comment will be taken during the meeting at designated times.
- Public comment will be limited to the total amount of time allocated for public comment on particular issues.
- The Chair will call on individuals in the order in which their hands were raised.
- Individuals will be recognized for up to two minutes and are asked to state their name and organizational affiliation at the top of their statements.
- Participants are encouraged to use the comment box to ensure all feedback is captured or email their comments to CDII@chhs.ca.gov or DxF@chhs.ca.gov.

Agenda



- 9:00 AM
Welcome and Roll Call
- 9:05 AM Informational Item:
Vision & Meeting Objectives
- 9:10 AM Discussion Item:
Qualified Health Information
Organization (QHIO) Program
Updates

- 9:35 AM Informational Item:
Update on Data Sharing
Agreement (DSA) Signatory
Grants
- 9:55 AM Informational Item:
Policies and Procedures (P&P)
Development
- 10:30 AM
Public Comment
- 10:45 AM Informational Item:
Next Steps and Closing Remarks

Welcome and Roll Call



IAC Members (1 of 2)

Name	Title	Organization
John Ohanian (Chair)	Director	CalHHS Center for Data Insights and Innovation
DeeAnne McCallin	Deputy Director	CalHHS Center for Data Insights and Innovation
Andrew Bindman	Executive Vice President & Chief Medical Officer	Kaiser Permanente
Eileen Cubanski	Acting Executive Director	County Welfare Directors Association
Joe Diaz	Senior Policy Director	California Association of Health Facilities
David Ford	Vice President, Health Information Technology	California Medical Association
Michelle Gibbons	Executive Director	County Health Executives Association of California
Aaron Goodale	Vice President, Health Information Technology	MedPoint Management
Lori Hack	Interim Executive Director	California Association of Health Information Exchanges
Cameron Kaiser	Deputy Public Health Officer	County of Solano
Troy Kaji	Associate Chief Medical Informatics Officer	Contra Costa Regional Medical Center and Health Centers
Cindy Keltner	Vice President of Health Access & Quality	California Primary Care Association

IAC Members (2 of 2)

Name	Title	Organization
Andrew Kiefer	Vice President, State Government Affairs	Blue Shield of California
Paul Kimsey	Deputy Director	California Department of Public Health
Linnea Koopmans	CEO	Local Health Plans of California
Matt Lege	Government Relations Advocate	SEIU California
Amie Miller	Acting Executive Director	California Mental Health Services Authority
Ali Modaressi	CEO	Los Angeles Network for Enhanced Services
Jonathan Russell	Chief Strategy and Impact Officer	Bay Area Community Services
Kiran Savage-Sangwan	Senior Policy Director	California Pan-Ethnic Health Network
Felix Su	Director, Health Policy	Manifest MedEx
Jim Willis	Systems Informatics Leader	CommonSpirit Health

Speaker Introductions

John Ohanian

CDO, CalHHS
Director, CDII

DeeAnne McCallin

Deputy
Director, CDII

Vision & Meeting Objectives



The Vision for Data Exchange in California

Once implemented across California, the Data Exchange Framework (DxF) will create new connections and efficiencies between health and social services providers, improving whole-person care.

The DxF is California's first-ever statewide Data Sharing Agreement (DSA) that requires the secure and appropriate exchange of health and human services information to enable providers to work together and improve an individual's health and wellbeing.

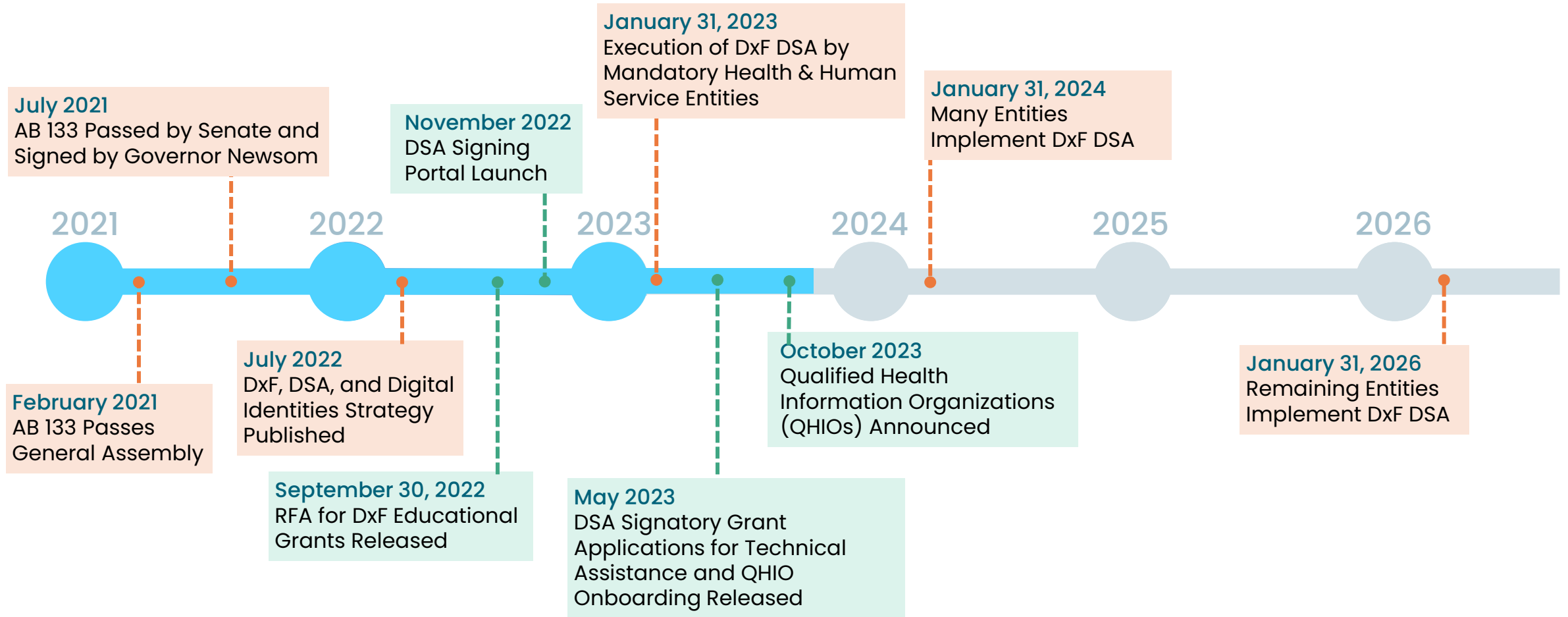


Meeting Objectives

- 1** Discuss the **Qualified Health Information Organization (QHIO) Program**
- 2** Discuss opportunities to apply for **Data Sharing Agreement (DSA) Signatory Grants**
- 3** Review status of **P&Ps under development**

DxF Implementation Timeline

Past + Upcoming Milestones



Signatory Count as of 11/7/2023

There are ~1,300 signed DSAs that represent over 2,200 different subordinate entities

Account Type (<i>Self-Attested</i>)	Signed DSAs*
Hospitals (General acute care settings and acute psychiatric settings)	161
Physician organizations and medical groups	1,322
SNFs (signed, assuming “with electronic record”)	325
Plans	63
Clinical Labs	58
County (or county affiliation)	59
Other (CBOs, HIOs, social services, other)	247
Total Account Type Count	2,235

* Subordinate level sub-totals; some figures have been approximated as CDII works with signatories on DSA line item clarification

The list of organizations that have signed the DSA is available [on the DxF website.](#)

Mandatory Signatories – Sign the DSA Immediately

Mandatory signatories were required to execute the DSA by January 31, 2023. We encourage those who have not yet signed the DSA to do so as soon as possible.

WHERE TO SIGN THE DSA



CalHHS CalHHS Data Sharing Agreement Signing Portal

Welcome to the CalHHS Data Sharing Agreement Signing Portal!

Signing the Data Sharing Agreement is a critical next step toward full implementation of the Data Exchange Framework.

It's time to ensure every Californian, no matter where they live, can trust that their health and social services providers can securely access critical patient information to provide safe, effective, whole person care.

Data Sharing Agreement

[Register to Start](#)

WHERE TO FIND MORE INFORMATION

More information is available on the [CalHHS DxF website](#), including:

- Final DSA
- Draft & Final P&Ps
- FAQs on the DSA, P&Ps, and Signing Portal
- Historical Meeting Materials & Recordings
- List of DSA signatories

Contact CDII if your organization has questions or concerns about signing.

Qualified Health Information Organization (QHIO) Program



QHIOs Are Announced

CDII congratulates the following DXF Qualified Health Information Organizations:

- [Cozeva](#)
- [Health Gorilla, Inc.](#)
- [Long Health, Inc.](#)
- [Los Angeles Network for Enhanced Services \(LANES\)](#)
- [Manifest MedEx](#)
- [Orange County Partners in Health HIE](#)
- [SacValley MedShare](#)
- [San Diego Health Connect](#)
- [Serving Communities HIO](#)



QHIO Program

- The QHIO Policy and Procedure states:
*"CDII shall establish, manage, and oversee a **Qualified HIO Program** that, among other things, shall set forth the requirements for a Participant that is an Intermediary to be designated as a Qualified HIO and any ongoing obligations that a Qualified HIO must meet in order to retain such designation."*
- The QHIO Application 2023 established the requirements to be designated a Qualified HIO
- The QHIO Program will identify, among other things, the ongoing obligations that a QHIO must meet to retain this designation

QHIO Program Guide

CDII will work with the IAC and the QHIOs to identify QHIO obligations and detail them in QHIO Program guidance

Guidance Sections May Include

1. QHIO Program Overview	6. Participant Directory
2. Role and Responsibilities of the QHIO	7. DxF Reporting Requirements
3. Communications Guidelines	8. QHIO Attestations
4. QHIO Onboarding Grant Requirements	9. Feedback, Complaints and Dispute Resolution
5. QHIO-to-QHIO Data Sharing	10. Review and Introduction of New Standards

For Discussion: Are there other areas the QHIO Program should address?

Update on Data Sharing Agreement (DSA) Signatory Grants



About DSA Signatory Grants

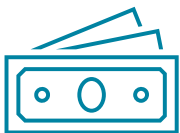
As part of the DxF Grant Program, CDII is administering **DSA Signatory Grants** that provide direct support to Signatories of the Data Sharing Agreement to subsidize their implementation efforts.



Purpose: DSA Signatory Grant funds can be used to implement a range of activities needed to achieve real-time data exchange in accordance with the DxF.



Eligibility: Organizations who have **signed the DSA** and demonstrate a DxF technology need are eligible for a DSA Signatory Grant.



Funding Amount: Applicants may request between \$15,000 and \$100,000, depending on organizational characteristics, for each electronic record instance.



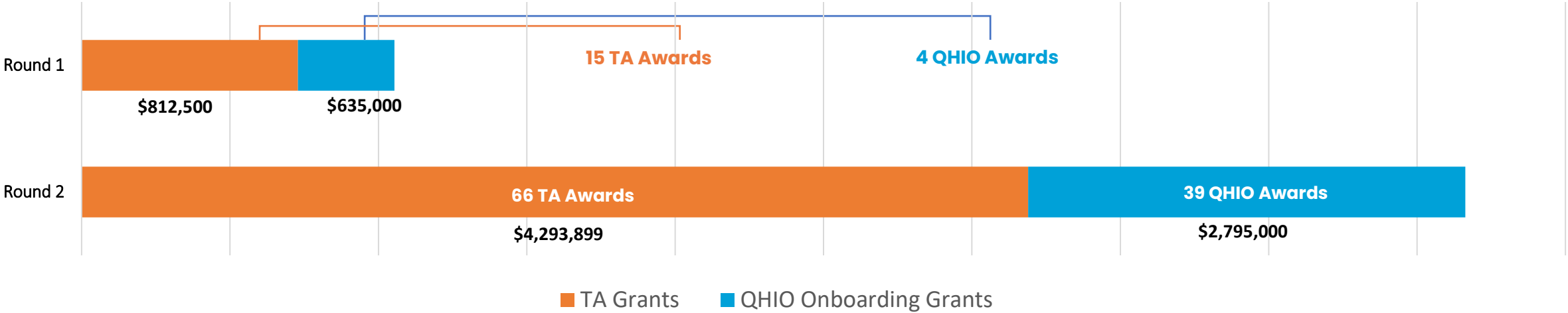
See the [DSA Signatory Grants Guidance Document](#) for details, including the full eligibility criteria.



DSA Signatory Grants: Round 1 & 2 Awardees

To date, CDII has awarded **\$8.6 million** in grants to **120+ Signatories**.

DxF DSA Signatory Grants - Total Funding Awarded



[Visit the CDII website to see the Awardee List.](#)

Round 3 Is Now Open

Round 3 is now open for all required and voluntary signatories.



Round 3: October 16, 2023 to December 15, 2023

- Round 3 will be open for two months.
- CDII will provide the public with notice of at least 30 days before the last round of applications closes.

CDII has seen a significant increase in the number of applications submitted for Round 3. **Signatories should apply now while funds remain!**

To learn more, [register](#) for the final DSA Signatory Grants Office Hours on November 29th, 2023 at 12:00pm PT.

Two Options for Applicants

There are two pathways for organizations interested in a DSA Signatory Grant:



Qualified Health Information Organization (QHIO) Onboarding Grants

The “**assisted**” pathway for organizations seeking to onboard to a QHIO to meet many DxF requirements.

Applications are completed in partnership with CAHIE and submitted by CAHIE to CDII's TPA, Public Consulting Group, on the Applicant's behalf.

The Grantee's contracted QHIO receives and manages grant funds.



Technical Assistance (TA) Grants

The “**build your own**” pathway for organizations seeking to address gaps in their capabilities to meet DxF requirements.

Applications are submitted by the Applicant to CDII's TPA, Public Consulting Group.

The Grantee receives and manages the funds directly.

Eligible organizations may apply for one of these two grant domains.

QHIOs & DSA Signatory Grants

Signatories can use QHIO Onboarding Grants to subsidize the cost of connecting to a QHIO.

Signatories can select a QHIO and apply for a QHIO Onboarding Grant now.

Organizations can choose one of the nine official QHIOs announced by CDII.

Round 1 & 2 QHIO Onboarding Grantees* should notify CAHIE once they have identified their QHIO to initiate the flow of funds.

* If you have been awarded a QHIO Onboarding Grant, but your HIO of choice was not selected to become a QHIO, you have the option to convert your QHIO Onboarding Grant to a TA Grant. Please reach out to CAHIE to begin this process.

Interested QHIO Onboarding grant applicants should contact CAHIE as soon as possible to begin the process by emailing grantsupport@cahie.org

Umbrella Applications Encouraged

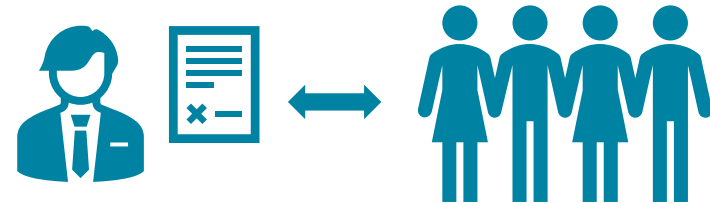
Eligible Signatories may choose **to apply on their own, or as part of an “umbrella” application with other Signatories.**

An **Applicant** is the organization that submits the Application for a DSA Signatory Grant. The Applicant can be:



An eligible Signatory applying on their own behalf.

Examples include a solo physician practice, a single county, or an individual safety net hospital.



An organization applying on behalf of one or multiple eligible Signatories.

- *Examples include a corporate parent, an Independent Practice Association, others.*
- *All DSA Signatories included in an Application must co-sign the Application.*

Policies and Procedures (P&P) Development



P&P Status Summary

CDII continues to develop and solicit feedback on draft P&Ps.

Category	P&P Topic	Status	To Be Covered Today
Substantive Changes	Privacy Standards and Security Safeguards	Finalized, pending publication	✓
	Requirement to Exchange HSSI	Finalized, pending publication	✓
	Data Elements to Be Exchanged	Released for Public Comment (due Nov 20)	✓
Administrative Changes	Individual Access Services	Finalized and published	✓
	Breach Notification	Released with Notification of Intended Administrative Changes (objections due Nov 27)	✓
New P&P	Early Exchange	Finalized, pending publication	
	Participant Directory	Under Development	✓
	[New] Fees	Under Development	✓

Individual Access Services

On October 23, 2023, CDII closed the notice of intended administrative changes to the Individual Access Services P&P.

- ❖ From September 22 – October 23, 2023, CDII provided opportunity for the public to object to the characterization of the proposed modifications as administrative.
- ❖ CDII has revised this P&P to ensure consistency with the DxF Glossary of Defined Terms and to clarify the use of certain terms.
- ❖ Feedback received included a request for confirmation that replacing references in the P&P to PHI or PII with references to Health and Social Services Information is an administrative change (as opposed to a material change). CDII maintains that this modification is an administrative change because the terms "PHI" and "PII" are substantively the same as the definition of "Health and Social Services Information" under the DxF.
- ❖ Upon review of objections received, CDII maintained that all proposed modifications were administrative and has finalized the revised P&P, consistent with the process described in the *Development of & Modifications to Policies and Procedures P&P*. The final P&P is available on the DxF website.

Please see Section III.5. of the Development of and Modification to Policies and Procedures P&P for more information on the Administrative Modification Process.

Privacy Standards & Security Safeguards and Requirement to Exchange HSSI

CDII will soon publish two P&Ps, incorporating feedback received in public comment and previous meetings of the IAC and DSA P&P Subcommittee.

P&P Topic	Purpose
Privacy Standards & Security Safeguards (<i>Amendment</i>)	The purpose of this P&P is to help maintain the privacy, security and integrity of protected health information (PHI) or personally identifiable information (PII) and to promote trust among Participants of the DxF. It establishes the procedure by which Participants fulfill obligations to use appropriate safeguards to protect the privacy of PHI and PII, and to maintain the secure environment that supports the exchange of it.
Requirement to Exchange Health and Social Services Information (HSSI) (<i>Amendment</i>)	The purpose of this P&P is to establish the responsibilities of Participants to respond to requests for Health and Social Services Information (HSSI) under the DxF.

Final versions of the P&Ps will be published to the DxF [website](#).

Data Elements to Be Exchanged

CDII is soliciting public comments on proposed amendments to the Data Elements to Be Exchanged P&P through November 20, 2023 at 8am.



Substantive changes to the proposed amended draft include revisions to:

- Clarify that Participants are not required to Exchange abortion or abortion related services information as part of data listed in this P&P, in alignment with the newly signed AB 352.
- Establish a precedence for data element, terminology, and data format standards, and thereby clarifying the requirements for Participants and addressing gaps in the ability of the HL7 Messaging Standard Version 2.5.1 and the HL7 Clinical Document Architecture (CDA®) Release 2 standards to represent all of the data elements that must be exchanged if Maintained by Participants as required by the Data Elements to Be Exchanged P&P.
- Replace the term “regulation” with the clause “law or the Policies and Procedures” to clarify the intent of the language.

The amended draft also includes administrative changes to, among other things, align the P&P with the Glossary of Defined Terms and language used in other P&Ps, especially those drafted after the initial publication of the Data Elements to Be Exchanged P&P in July 2022.

Breach Notification

CDII is proposing to make certain administrative changes to the Breach Notification P&P. *CDII will accept objections to CDII's characterization of these changes as administrative through November 27, 2023 at 5pm.*



- ❖ CDII is revising this P&P to ensure consistency with the DxF Glossary of Defined Terms and to clarify the use of certain terms. These revisions should not result in any change to the meaning of the P&P, or to CDII's expectations for Participants, and are otherwise not considered to be substantive.
- ❖ CDII is seeking public comment *only with respect to these administrative modifications* through a simplified process (previously used for the Individual Access Services P&P).
- ❖ Participants that object to CDII's determination that these changes are administrative may submit an objection through an online form provided on the DxF website. Objections are due by 5pm PT on November 27, 2023.

Please see Section III.5. of the Development of and Modification to Policies and Procedures P&P for more information on the Administrative Modification Process.

Forthcoming P&Ps on (1) Participant Directory and (2) Fees

CDII will soon solicit public comments on two proposed draft Policies and Procedures. CDII anticipates that these will be the last two new P&Ps that will be finalized prior to January 31st, 2024.

- **Participant Directory**

- The purpose of the Participant Directory P&P is to communicate Participant choices in the networks, HIOs, or technologies they will use to provide Access to and Exchange HSSI.

- **Fees**

- DSA P&Ps contain language that requires more specificity and consistency regarding Participants charging fees for data exchange.
- The Fees P&P will consolidate the requirements associated with charging fees for data exchange under the DxP that are currently found across the P&Ps below into one P&P. (Updates to the P&Ps below will be advanced through Notices of Intended Administrative Changes.)
 - *CA Information Blocking Prohibitions*
 - *Permitted, Required and Prohibited Purposes*
 - *Individual Access Services*

Next Steps for P&Ps - Summary

P&P	Tentative Next Steps	Anticipated Finalization Date
Requirement to Exchange HSSI (Amended)	Publish P&P	Mid-November
Privacy Standards and Security Safeguards (Amended)	Publish P&P	Mid-November
Early Exchange	Publish P&P (<i>simultaneously with amended Privacy Standards and Security Safeguards P&P</i>)	Mid-November
Breach Notification (Amended)	Upon conclusion of objection period*, finalize P&P and release	Early December*
Data Elements to Be Exchanged (Amended)	Upon conclusion of public comment period*, finalize P&P and release	Late December*
Participant Directory	Release for public comment	Mid-January (2024)
Fees	Release for public comment	Mid-January (2024)

Public Comment



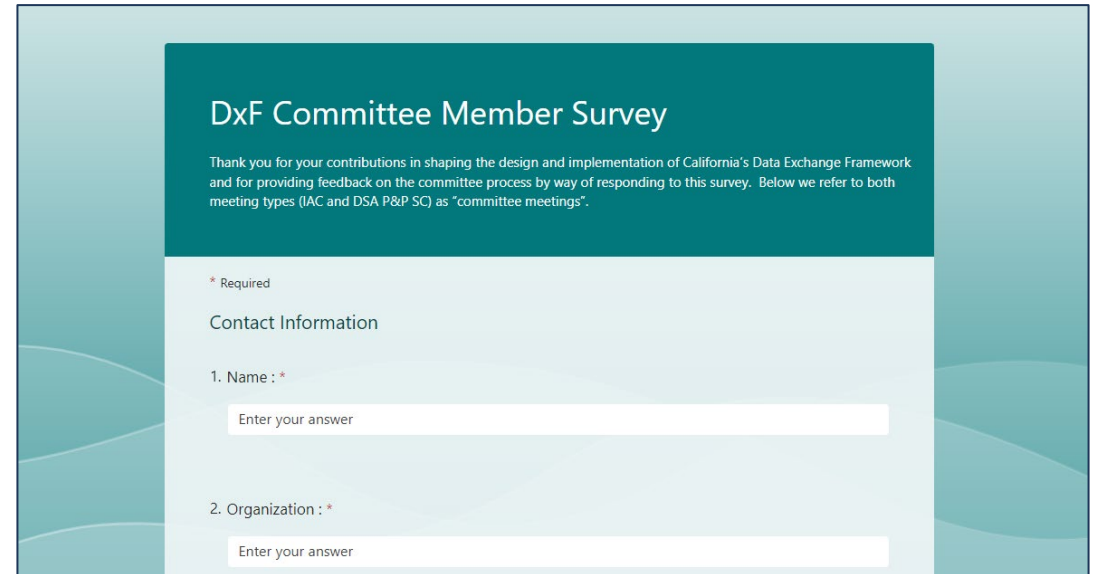
Next Steps and Closing Remarks



2023 Survey for IAC Members

CDII extends our sincere gratitude to all IAC members for their service and dedication to the Data Exchange Framework over the past year.

- This week, CDII administered a year-end survey to members requesting insight, opinions, and feedback on the Committee's structure and function.
- Responses are requested by **Friday, December 1, 2023.**



The screenshot shows a survey form titled "DxF Committee Member Survey". The form has a teal header with the title and a thank-you message. Below the header, there is a section for "Contact Information" with two required fields: "1. Name : *" and "2. Organization : *". Each field has a text input box with the placeholder "Enter your answer".

DxF Committee Member Survey

Thank you for your contributions in shaping the design and implementation of California's Data Exchange Framework and for providing feedback on the committee process by way of responding to this survey. Below we refer to both meeting types (IAC and DSA P&P SC) as "committee meetings".

* Required

Contact Information

1. Name : *

Enter your answer

2. Organization : *

Enter your answer

Next Steps

CalHHS will:

- Consider the feedback provided by the IAC.
- Continue to advance P&Ps and QHIO materials in development and, where applicable, solicit public comment.

Members will:

- Provide any additional feedback to CDII at CDII@chhs.ca.gov

Meeting Schedule

The IAC Meeting Schedule has been extended through the end of 2023

DSA P&P Subcommittee Meetings	Date
DSA P&P SC Meeting #11	December 5, 2023, 9:00 AM – 11:30 AM PT

IAC Meetings	Date
IAC Meeting #12	December 19, 2023, 2:00 PM – 4:30 PM PT

For more information or questions on IAC meeting logistics, please [email CDII](#).

DxF Webinar Schedule

DSA Signatory Grants Office Hours	Date
DSA Signatory Grants Office Hours	November 29th, 2023, 12:00 PM – 1:00 PM PT
DxF Information is Power Webinar Series*	Date
DxF Webinar #14	December 13, 2023, 1:00 PM – 2:00 PM PT

*Future webinars may be released at CDII's discretion.

For more information on additional DxF Program Events, such as:

- Grants Program Office Hours;
- QHIO Application Q&A Sessions; and
- DSA P&P Subcommittee Meetings;

please reference their respective sections on the [DxF Website](#).