



Data Exchange Framework

Information is Power Webinar Series

December 13, 2023

Webinar 14

DxF Year End Review and Look Ahead



Presenter Introductions

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Poll Question

What is your favorite way to eat a potato?



- Mashed
- Fried
- Scalloped
- Baked
- Chipped
- Other

Agenda



- Vision for Data Exchange in California
- Multi-Association Initiative: Educational Grantee Spotlight
- Year End Review and Look Ahead
- Wrap-up & Q&A

Poll Question

Which of the entity types below best characterizes your organization?



- General Acute Care Hospital or Acute Psychiatric Hospital
- Physician Organization and Medical Group
- Skilled Nursing Facility
- Health Plan
- Clinical Laboratory
- Government
- Community Based Organization (provider of social services)
- Data Exchange Intermediary - HIE/HIO
- IT Vendor
- Trade Association/Advocacy Organization
- Other

Q&A Procedure

- Please submit your questions through the Zoom Q&A function.
- CDII will select questions to be answered live during the webinar as time allows.
- If your question was not answered during the session and you would like to follow up with CDII, please submit your question to either:
 - dx@chhs.ca.gov [new as of November 2023], or
 - cdii@chhs.ca.gov

Vision for Data Exchange in California



The Vision for Data Exchange in California

Once implemented across California, the Data Exchange Framework (DxF) will create new connections and efficiencies between health and social services providers, improving whole-person care.

The DxF is California's first-ever statewide Data Sharing Agreement (DSA) that requires the secure and appropriate exchange of health and human services information to enable providers to work together and improve an individual's health and wellbeing.



Who Must Sign the DSA?

#	Required Signatory Type*
1	General acute care hospitals, as defined by Health and Safety Code (HSC) section 1250.
2	Physician organizations and medical groups.
3	Skilled nursing facilities, as defined by HSC section 1250, that currently maintain electronic records.
4	Health care service plans and disability insurers that provide hospital, medical, or surgical coverage that are regulated by the Department of Managed Health Care or the Department of Insurance. This section shall also apply to a <u>Medi-Cal managed care plan under a comprehensive risk contract</u> with the Department of Health Care Services pursuant to Chapter 7 (commencing with Section 14000) or Chapter 8 (commencing with Section 14200) of Part 3 of Division 9 of the Welfare and Institutions Code that is not regulated by the Department of Managed Health Care or the Department of Insurance.
5	Clinical laboratories, as that term is used in Section 1265 of the Business and Professions Code, and that are regulated by the Department of Public Health.
6	Acute psychiatric hospitals, as defined by HSC section 1250.

*Organizations were required to sign by January 31, 2023 regardless of whether they must begin sharing data by January 31, 2024 or January 31, 2026.

Poll Question

Why did you sign the DSA?



- Access to data
- Collaboration opportunities
- Enhanced decision making
- Improved care outcomes
- Public health impact
- Requirement by law
- Thinking ahead/getting ready for the future
- Other

Mandatory Signatories – Sign the DSA Immediately

Mandatory Signatories were required to execute the DSA by January 31, 2023. We encourage those who have not yet signed the DSA to do so as soon as possible.

WHERE TO SIGN THE DSA



The screenshot shows the CalHHS Data Sharing Agreement Signing Portal. At the top left is the CalHHS logo and the text "CalHHS Data Sharing Agreement Signing Portal". Below this is a heading "Welcome to the CalHHS Data Sharing Agreement Signing Portal!". The main text reads: "Signing the Data Sharing Agreement is a critical next step toward full implementation of the Data Exchange Framework. It's time to ensure every Californian, no matter where they live, can trust that their health and social services providers can securely access critical patient information to provide safe, effective, whole person care." At the bottom, there is a section titled "Data Sharing Agreement" with a button labeled "Register to Start".

WHERE TO FIND MORE INFORMATION

More information is available on the CalHHS DxF [webpage](#), including:

- Final DSA
- Policies & Procedures (P&Ps)
- FAQs on the DSA, P&Ps, and Signing Portal
- Historical Meeting Materials & Recordings
- List of DSA Signatories

Contact CDII if your organization has questions or concerns about signing.

I signed the Data Sharing Agreement...now what?

Prepare to Meet Data Exchange Expectations

- Ensure your organizations is familiar – and preparing to comply – with requirements described in the [DSA and its P&Ps](#).
 - Understand what information you have to exchange and by when you have to start exchanging (**many organizations must begin exchanging by January, 31, 2024**)
 - Ensure you have the technical capabilities needed to exchange (e.g., by assessing current technology and determining whether you need to upgrade/onboard new technology to meet DxF requirements).
 - Enter your information in the DxF Participant Directory.
-
- Understand the DxF Permitted, Required, and Prohibited Purposes (see P&P)
 - Understand the Requirement to Exchange Health and Social Services Information (see P&P)
 - Learn what the Data Elements to Be Exchanged are (see P&P)
 - See if you have the technology in place that conforms to the Technical Requirements for Exchange (see P&P)
 - Learn about the Participant Directory – during today's webinar and by reading the proposed draft P&P.

Join the CDII Mailing List

Educational Initiative Grantees

Eight grantee associations are providing direct and tailored education to various DxF participant groups through 2023

Educational Initiative Grantees	Contact	Website	Signatory Type
Multi-Association Initiative led by America's Physician Groups (APG)	DxFeducation@connectingforbetterhealth.com	Multi-Association DxF Microsite	Physicians (Group Practices/Family Physicians/etc.), Aging Providers, Skilled Nursing Facilities (includes nursing homes and ICFs), Health Information Exchanges
California Medical Association	DxFQuestions@cmadocs.org	CMA DxF Microsite	Physician Organizations, Medical Groups
California Primary Care Association	grants@cpcacpa.org	CPCA DxF Microsite	FQHCs
The County Health Executives Association of California	Admin@cheac.org	CHEAC DxF Microsite	Local health departments
California Association of Health Plans	info@calhealthplans.org	CAHP Website	Health insurance plans
Leading Age California Foundation	info@leadingageca.org	Leading Age DxF Microsite	Skilled Nursing Facilities, Health Plans, Community-Based Organizations
American Academy of Pediatrics, California Chapter 1	info@aapca1.org	AAPCA1 Website	General acute care hospitals, Physician organizations and medical groups, Acute psychiatric hospitals, Community-based organizations providing social services, Behavioral health providers, County health, social services, and public health, Other health care entities
California Council of Community Behavioral Health Agencies	response@cccbbha.org	CBHA Website	Community-Based Organizations Behavioral Health Providers

Educational Grantee Spotlight: Multi-Association Initiative led by America's Physician Groups (APG)



Multi-Association DxF Education Initiative



CALIFORNIA ACADEMY OF
FAMILY PHYSICIANS
STRONG MEDICINE FOR CALIFORNIA

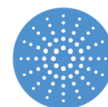
AMERICA'S
PHYSICIAN
GROUPS 

CALIFORNIA ASSOCIATION

OF HEALTH FACILITIES



Consulting Supports:



Connecting for Better Health
Advancing data sharing to improve the health of all Californians



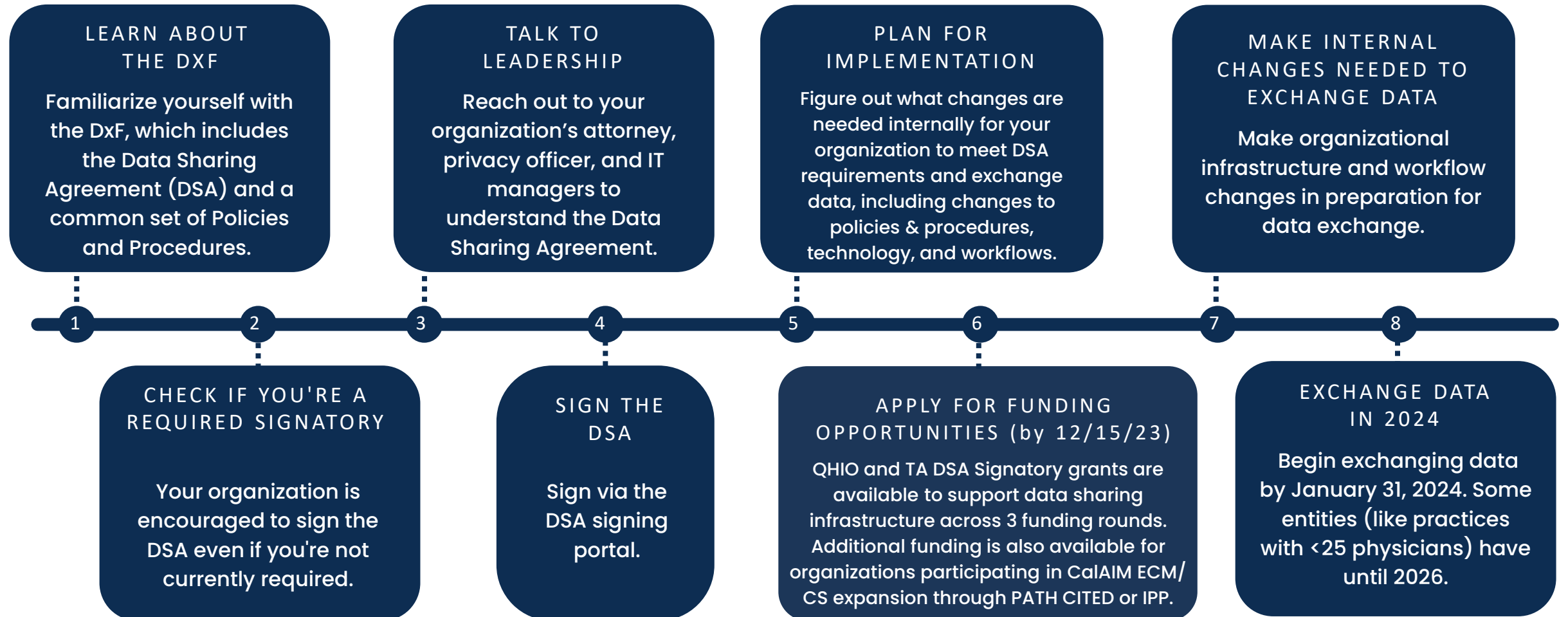
Our work through 2023

- Kick-off at ITUP Annual Conference
- Engagement with members of each partner association
 - DxF education at conferences, webinars, and meetings
 - Targeted outreach
- Communications resources
 - Educational fact sheets on DxF and grant opportunities
 - Monthly newsletters
 - Social media
 - Storybank
 - Speaker's bureau
- Signatory analysis
- Implementation resources
 - Bootcamp event
 - Toolkit creation

THE JOURNEY OF DATA SHARING:

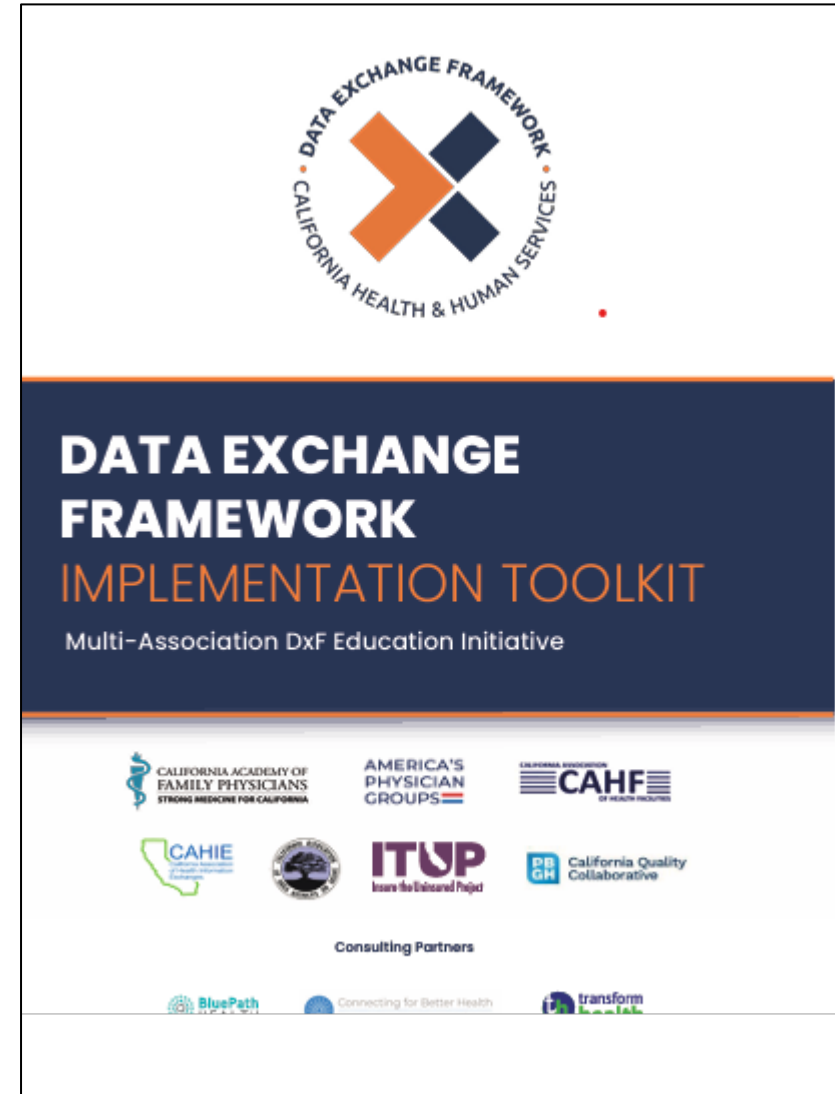
How to Sign & Implement the Data Exchange Framework in 8 Steps

DEVELOPED BY THE MULTI-ASSOCIATION DXF EDUCATION INITIATIVE



Implementation Toolkit Contents:

- Walks organizations through the process of understanding and signing the DSA through implementing data sharing practices under DxF.
- Outlines internal planning steps related to making changes to internal policies and procedures, technology and workflows.
- Contains questions to ask trading partners to help understand your environment and partners.



How to Reach Us

- Are you a provider type served by the Multi-Association Initiative and not sure how to start your journey to sharing data by January 31, 2024?
- Want a copy of the DxF Implementation Toolkit?

Email us at:

Dxfeducation@connectingforbetterhealth.com

Or

DATASHARINGINFO@transformhc.com



Year End Review and Look Ahead



DxF this Month

Since our last *Information is Power* webinar on November 7, 2023, CDII & stakeholders have continued to advance DxF implementation across several domains.



Governance

The next joint Implementation Advisory Committee (IAC) and DSA P&P Subcommittee meeting will be held virtually on December 19, 2023. The meeting will include updates on the DSA Signatory Grants, the QHIO Program, and P&Ps under development.



DSA P&P Development

CDII has released a number of P&Ps for public comment or as part of a Notice of Intended Administrative Changes. More information is available on the DxF webpage.



DxF Grants

Round 3 to apply for a DSA Signatory Grant is open! If you have signed the DSA and can demonstrate a health information technology need, you are eligible to apply. Deadline has been extended to December 20th at 11:59pm PT.

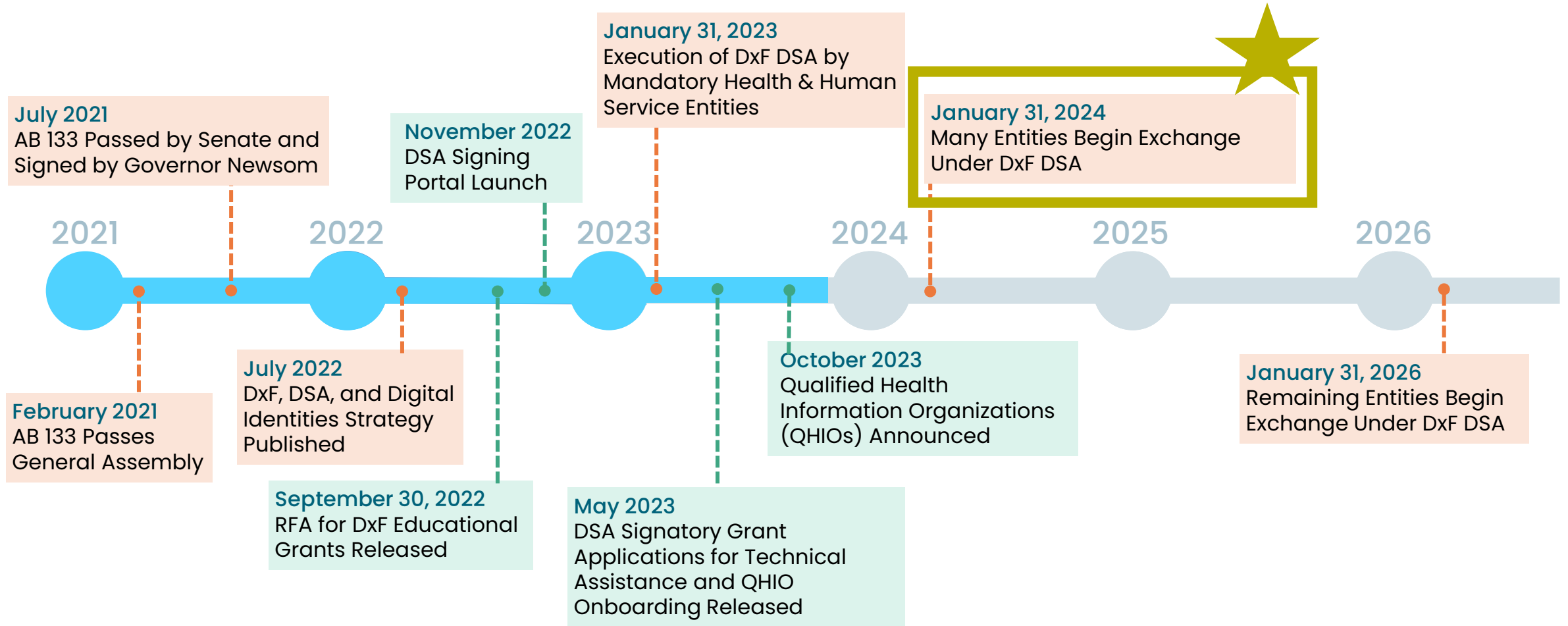


QHIO

Nine Qualified Health Information Organizations were announced in October. CDII is working with these QHIOs to prepare for the start of exchange under the DSA on January 31, 2024.

DxF Implementation Timeline

Past + Upcoming Milestones



Creating the rules of the road: DSA P&Ps

Looking Back 2023

P&P Development

- Developed 5 new P&Ps (with 2 other P&Ps in the final stages of development)
- Revised several existing P&Ps in response to stakeholder feedback and to ensure consistency across P&Ps
- Developed a Glossary for defined terms used in the DSA and its P&Ps to facilitate stakeholder review and use.

Looking Ahead 2024

P&Ps in Development

- Early 2024, finalize 2 new P&Ps on
 - Participant Directory
 - Fees
- Finalize administrative modifications to 3 other P&Ps.
- Develop (and/or modify) additional P&Ps, if needs are identified (as governed by the *Development of and Modifications to P&Ps* P&P).

Creating the rules of the road: DSA P&Ps

List of P&Ps

DxF P&Ps (as of 12/12/23)
Breach Notification
California Information Blocking Prohibitions*
Data Elements to Be Exchanged
Development of and Modifications to P&Ps
Early Exchange
Fees**
Individual Access Services*
Participant Directory**
Permitted, Required, and Prohibited Purposes*
Process for Amending the DSA
Privacy Standards and Security Safeguards
Qualified Health Information Organization
Real-Time Exchange
Requirement to Exchange Health and Social Services Information
Technical Requirements for Exchange

Establishing programs that enable implementation: DxF Grants Program

Looking Back 2023

DxF Educational Initiative Grant

- CDII's eight grantee associations have provided direct and tailored education to various DxF participant groups.

DSA Signatory Grants

- Round 1 Awardees announced
- Round 2 Awardees announced
- Round 3 Applications submitted, deadline to submit Wed Dec 20th

Looking Ahead 2024

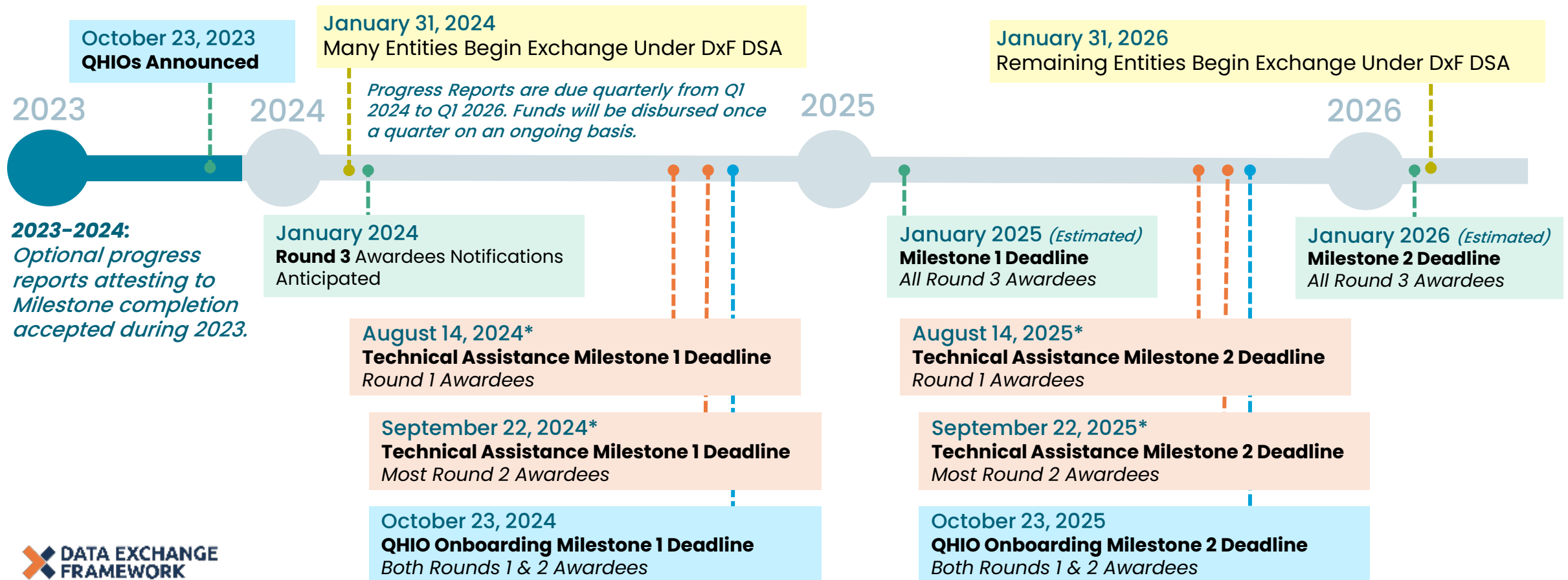
DSA Signatory Grants

- TA Grantees and QHIOs (on behalf of QHIO Onboarding Grantees) must submit quarterly progress reports.
- TA Grantees are required to achieve Milestone 1 within one year of grant award notification.
- QHIO Onboarding Grantees are required to achieve Milestone 1 as follows:
 - Round 1 and Round 2 grants, within one year of CDII's announcement of QHIOs, so by October 23, 2024.
 - Round 3 grants within one year of CDII's grant award announcement, so may be in CY 2025.

See next slide for more information on reporting dates.

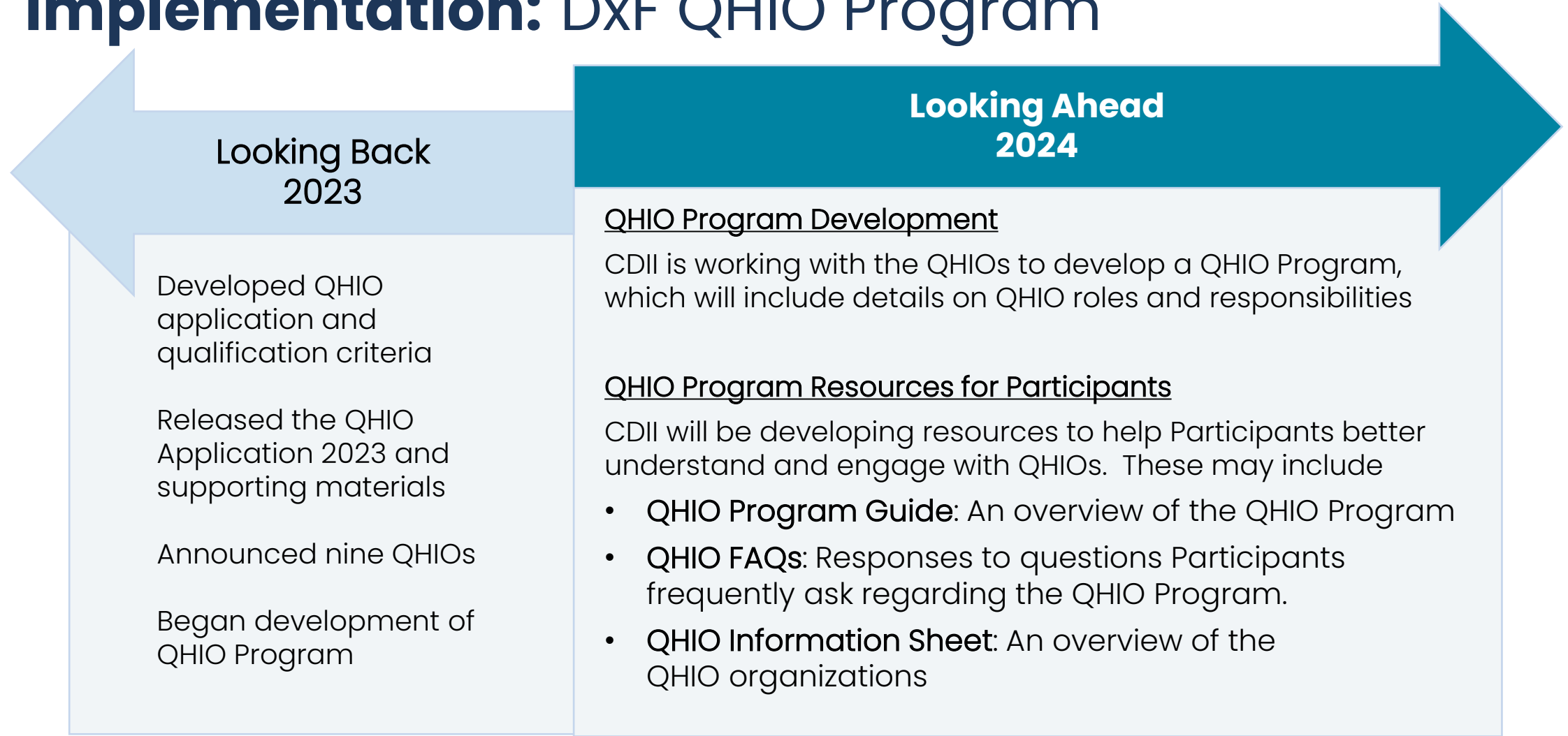
DSA Signatory Grant Milestones

Grantees must achieve Milestone 1 within 12 months and Milestone 2 within 24 months of receiving their award. For Round 1 and 2 QHIO Onboarding Grantees, the 12-month period starts from the QHIO Announcement date.



*TA Grant Awardees should confirm their Milestone due date on the GrantsConnect Portal.

Establishing programs that enable implementation: DxF QHIO Program



Establishing programs that enable implementation: Participant Directory

Looking Back 2023

Established the need for a Participant Directory to communicate choices Participants make in how they will share HSSI

Established phases for Participant Directory implementation

Drafted and posted for Public Comment a Policy and Procedure to establish information needs, and CDII & Participant responsibilities

Looking Ahead 2024

Policy and Procedure

- Complete public comment period on draft P&P
- Publish finalized P&P (anticipated by mid-January)

Participant Directory Implementation, Phase 1

- Add the ability for Participants to add their choices to the Participant Directory in the DSA signing portal
- Participants begin entering choices in the Participant Directory
- Begin to publish Participant choices as additional columns in the listing of DSA signatories (updated weekly)

(Implementation subject to public comments received in the comment period closing December 18, 2023)

Establish Plan for Phase 2

About the Participant Directory



What is the Participant Directory?



New fields in the DSA signing portal and new columns in the list of DSA signatories that informs Participants of the choices other Participants have made in how they share HSSI.



Who enters choices into the Participant Directory?

All Participants. QHIOs and other Intermediaries may advise or assist Participants, but Participants are responsible for their own choices. Participants beginning exchange in 2026 need not enter choices until starting to exchange.



What choices needs to be entered?

- Starting to exchange in 2024 or 2026
- Choice for Request for Information
- Choice for Information Delivery (optional)
- Choice for Notifications for ADTs (hospitals, EDs)

How the Participant Directory Works

How Participants enter their choices:

New fields in the DSA signing portal

Exercising my option to delay exchange under DxF until January 31, 2026

I choose to receive Requests for Information via the following method:

QHIO B ▼

I choose to receive Information Delivery via the following method:

▼

I choose to receive r

▼

- QHIO A
- QHIO B
- ...
- Network X
- Framework Y
- ...
- Intermediary M
- ...
- Self
- Other

Notifications via the following method:

Final production screen(s) and format may look different

How Participants learn the choices of other Participants:

New columns in the listing of DSA signatories

Participant ID	Participant Name	Delay until 2026	Request for Info	Info Delivery	Request for Notifications	Terminated DSA	Ceasing Ops
100001	Hospital A	No	Network X	Network X	QHIO B	No	No
100002	Clinic B	Yes	None	None	None	No	No
100003	Plan C	No	QHIO A	None	None	No	No

The choices Participants make

Poll Question

Is your organization required to exchange data under the DxF by 01/31/24 or 01/31/26?



- 1/31/24 *[Most Mandatory Signatories]*
- 1/31/26 *[Voluntary Signatories plus physician practices of fewer than 25 physicians, rehabilitation hospitals, long term acute care hospitals, acute psychiatric hospitals, critical access hospitals, rural general acute care hospitals with fewer than 100 acute care beds, state-run acute psychiatric hospitals, and any nonprofit clinic with fewer than 10 health care providers]*
- Not applicable
- Not sure

Poll Question

Each DxF Participant will be required to enter choices in the Participant Directory fields of the DSA Signing Portal about how they plan on exchanging data (HSSI) under the DxF. Which of the below options does your organization anticipate using to exchange data? Select all that apply.



- QHIO
- Other Intermediary
- Nationwide Network or Framework
- Own Technology
- Other

Engaging Stakeholders

Looking Back 2023

Hosted 14 Public Information is Power Webinars

Worked with Eight Educational Grantees

10+ Documents Released for Public Comment

Developed a DxF FAQ and Glossary of Defined Terms to support implementation.

Hosted multiple additional townhalls and office hours.

Looking Ahead 2024



DxF Exchange

Exchange under the DxF begins January 31, 2024.



Information is Power Webinar Series

CDII will continue to host the Information is Power Webinar Series on an every other month basis (approximately every 8 weeks)



Public Comment Opportunities

There are currently 2 P&Ps open for public comment. 3 other P&Ps have been released with notification of intended administrative changes. CDII will continue to actively solicit and consider public comments in 2024.

Wrap-up & Q&A



Question & Answer



Stay Involved

1

Stay tuned for details about *Information is Power* webinars in 2024.

2

Participate in upcoming DxF meetings.

- Joint IAC and DSA P&P Subcommittee Meeting #12: December 19, 2023, 2:00 PM – 3:45 PM PT (Virtual)

Meeting materials and recordings will be posted on the CalHHS DxF webpage


3

Join the mailing list by emailing dx@chhs.ca.gov or cdii@chhs.ca.gov

CDII welcomes suggestions for future webinar topics.

DxF Weekly Update

CDII sends the “DxF Weekly Update” to listserv subscribers interested in receiving week-by-week updates about the DxF.



DxF Weekly Update

The Center for Data Insight and Innovation (CDII) is providing this weekly communication to provide important updates and information on the Data Exchange Framework (DxF).

Important Notices

On Friday, September 22, 2023, CDII provided public notice of intended administrative modifications to the [Individual Access Services P&P](#). Refer to the “Notice of Intended Administrative Changes to P&Ps (September 2023)” section of [CDII’s DxF Webpage](#). Deadline to respond is **October 23, 2023**. See [Public Comment](#) section below.

DxF Updates

POLICIES & PROCEDURES:

- The Requirement to Exchange Health and Social Services Information P&P and the Privacy Standards and Security Safeguards P&P are being reviewed by CDII post the recent public comment window. Materials and public comments received by CDII for these two P&Ps can be found in [the Public comment \(August 2023\) section of Archived Materials](#).

QHIO PROGRAM:

- CDII is reviewing applications and anticipates announcing which organizations have been qualified in October 2023.
- Information on the QHIO program can be read in the [QHIO P&P](#).

DSA SIGNATORY GRANTS:

Join the mailing list by emailing dx@chhs.ca.gov or cdii@chhs.ca.gov

DxF Weekly Update – Week of October 3, 2023

Poll Question

What are your thoughts on the DxF Weekly Update?



- Love it!
- It needs more information
- It needs less information
- I am not receiving the DxF Weekly Update

CDII DxF Webpage Resources

For more information on the DxF, please visit our [CDII DxF Webpage](#).

There you can find:

- The DxF, DSA, and P&Ps;
- Information about the QHIO and DxF Grant programs;
- Materials from previous and upcoming meetings, webinars, and listening sessions;
- FAQs on the DxF;
- Link to the DSA Signing Portal; and
- A list of DSA Signatories.
- And more!